Please refer to accompanying justification documents for further details on the development and use of the Young Lives school survey questionnaires.
**ETHIOPIA: SCHOOL BOOKLET 3**

**PRINCIPAL QUESTIONNAIRE**

<table>
<thead>
<tr>
<th>0.1</th>
<th>Insert School ID</th>
<th>[ __ __ __ __ __ __ __ __ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.2</td>
<td>If the instrument is not completed, what is the reason why? (if completed, leave blank) 01=Respondent absent 02=Respondent refused to participate</td>
<td></td>
</tr>
<tr>
<td>0.3</td>
<td>DATE OF INTERVIEW</td>
<td>___ / ___ / ___ ___ dd / mm/yyyy</td>
</tr>
<tr>
<td>0.4</td>
<td>How completed this instrument?</td>
<td>Principal □ Deputy □ Other □</td>
</tr>
</tbody>
</table>

**DATA HANDLERS**

<table>
<thead>
<tr>
<th>Fieldworker Name:</th>
<th>Code: [ ___ ___ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature: Date of check: ___ / ___ / ___ ___ dd / mm / yyyy</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Supervisor Name:</th>
<th>Code: [ ___ ___ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature: Date of check: ___ / ___ / ___ ___ dd / mm / yyyy</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data entry clerk (first data entry) Name:</th>
<th>Code: [ ___ ___ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature: Date of first data entry: ___ / ___ / ___ ___ dd / mm / yyyy</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data entry clerk (second data entry) Name:</th>
<th>Code: [ ___ ___ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature: Date of second data entry: ___ / ___ / ___ ___ dd / mm / yyyy</td>
<td></td>
</tr>
</tbody>
</table>
PLEASE USE THE ETHIOPIAN CALENDAR THROUGHOUT THE QUESTIONNAIRE

Section 1: PERSONAL INFORMATION ABOUT THE PRINCIPAL

1. What age are you? *(in completed years)* ___ ___

2. What is your gender? Male01  Female02

3. What language do you mainly speak at home? *(please tick only one box)* *(PRNCLNGE)*

- Afarigna01
- Amarigna02
- Agewigna03
- Dawerogna04
- Gedeogna05
- Guraghigna06
- Hadiyigna07
- Harari08
- Kefigna09
- Kembategna10
- Oromifa11
- Sidamigna12
- Silitigna13
- Somaligna14
- Tigrina15
- Welayitegna16
- Zayigna17
- English18
- Other19

4. By the end of this school year (2005 E.C.), how long will you have been the principal/director of this school? ___ ___

5. What is the highest level of general education you have completed (excluding any teacher training)?

- None00
- Grade 401
- Grade 802
- Grade 1003
- Grade 1204
- Post-secondary certificate05
- Post-secondary diploma06
- University degree07

6. What is the highest level of teacher training qualification you have received?

- I am not trained00
- Certificate01
- Diploma02
- University degree03

7. Do you hold an official kebele position?

- Yes01
- No00
8. Do any of your family members or close associates (friends, patrons) hold any of the following? (Tick "Yes" or "No" for each item)

<table>
<thead>
<tr>
<th></th>
<th>Yes_01</th>
<th>No_00</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. An official kebele position</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ii. A position in the woreda education office</td>
<td></td>
<td></td>
</tr>
<tr>
<td>iii. A position in another part of the woreda administration</td>
<td></td>
<td></td>
</tr>
<tr>
<td>iv. None of the above</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

9. Are you a member of the Ethiopian Teachers’ Association or another teacher union?

Yes_01 [ ] No_00 [ ]

10. What gross salary (including allowances) do you receive per month for your work as a principal / teacher?

____ ____ ____ ____ ____ (in Birr)

Section 2 : INFORMATION ABOUT THE SCHOOL

11. Who is this school owned and operated by?

Government_01 [ ] Private individual/company_02 [ ] Community_03 [ ]

Faith-based organization (e.g. Church)_04 [ ] NGO_05 [ ] Other_06 [ ]

12. Is this an Alternative Basic Education (ABE) School?

Yes_01 [ ] No, it was never an ABE_02 [ ] No, but in the past it was an ABE_03 [ ]

13. From which sources did this school receive funding in the last academic year? (tick all that apply)

Government_01 [ ] Fees paid by parents_02 [ ] Community contributions_03 [ ]

Faith-based organization (e.g. Church)_04 [ ] NGO_05 [ ] Other_06 [ ]
14. How much did the school receive in the last academic year (approximately) from each of the following sources? (Include gifts in kind expressed in terms of their value in Birr)

<table>
<thead>
<tr>
<th>Source:</th>
<th>Amount received: (if none enter “00”)</th>
</tr>
</thead>
<tbody>
<tr>
<td>School grant</td>
<td></td>
</tr>
<tr>
<td>Government budget allocations</td>
<td></td>
</tr>
<tr>
<td>Donations from NGOs</td>
<td></td>
</tr>
<tr>
<td>Community contributions</td>
<td></td>
</tr>
<tr>
<td>Income from school enterprises e.g. farming</td>
<td></td>
</tr>
</tbody>
</table>

15. What year was this school established? (use the Ethiopian calendar) ____ ____ ____ ____

16. What grade range does this school offer? (for example, from grade 01 to grade 04) (please exclude pre-school services e.g. kindergarten)

From Grade ____ ____ to Grade ____ ____

17. How long does it take to walk to the nearest secondary school from this school? (in minutes) ____ ____ ____

18. Is the school a “cluster resource centre” school?

Yes01  No00  

19a. Does this school operate the automatic promotion system in the first cycle?

Yes, every pupil is promoted automatically01  
No, some pupils repeat grades (e.g. poor performers/absentees)00  

19b. Does this school operate the automatic promotion system in the second cycle?

Yes, every pupil is promoted automatically01  
No, some pupils repeat grades (e.g. poor performers/absentees)00  

20. Is this school a full-day school or a shift school?

Shift school (all classes)01  Full-day school (all classes)02  
Some classes Full-day some classes shift03  
21. Please detail the total number of children enrolled in this school at the start of this school year EXCLUDING PRESCHOOL/ KINDERGARTEN: (if this school is a shift school, please separate enrolment by shift. If it is a full-day school, fill in only that box, if some pupils attend shift and others full day complete more than one box e.g. if G1-4 is shift and G5-8 is full day)

<table>
<thead>
<tr>
<th>School type</th>
<th>Total enrolment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shift School (please complete for either shift or full-day)</td>
<td></td>
</tr>
<tr>
<td>Morning Shift</td>
<td>___ ___ ___ ___</td>
</tr>
<tr>
<td>Afternoon Shift</td>
<td>___ ___ ___ ___</td>
</tr>
<tr>
<td>Full-day school</td>
<td>___ ___ ___ ___</td>
</tr>
</tbody>
</table>

22. Why are some children who apply to attend this school not accepted (this academic year)? (Tick all that apply)

- All children who apply to this school are accepted
- School is full
- Parents are unable to pay
- Children live too far away
- Children do not have ability
- Children cannot speak the right language
- Children are too old to be admitted
- The deadline for registration had passed
- Student had repeated 3 times
- Other

- Student was badly behaved in past
- Children without birth certificate

23. Does the kebele monitor if children of school-going age have enrolled? (e.g. by going to houses at the start of the school year)

- Yes
- No

24. Do teachers monitor if children of school-going age have enrolled? (e.g. by going to houses at the start of the school year)

- Yes
- No

25. How many hours of class time do children have in this grade on each school day?
Please include only time in class, not time in breaks or lunch time. Please use hours and minutes.

- Grade 1 to 4  ___ ___ :___ ___
- Grade 5  ___ ___ :___ ___
- Grade 6  ___ ___ :___ ___
26. In which grade does the school start teaching English as a subject? 
Grade ___ ___ ____ (If this school does not teach English as a subject enter “-88”)

27. In which grade does the school begin using English as the medium of instruction in mathematics? 
Grade ___ ___ ____ (If this school does not teach in English medium enter “-88”)

28. On how many days was the school closed in the last academic year? 
(do not include scheduled holidays. Do include extreme weather, elections etc). (if none enter “00”) 
___ ___ ___ days

29. Does the school request permission from the woreda education office before closing the school? 
Yes, usually01 No, not normally00 The situation has not arisen-88

30. How many full-time teachers are there employed at this school? ___ ___ ___

31. What does the director do to reward a teacher who is performing well? (Tick all that apply) (If no incentive, select ‘do not have the capacity to reward well-performing teachers’)

Financial incentive01
Promotion to a higher level of teaching02
Offered the opportunity to attend a teacher training course03
Provide certificates, prizes or ceremonies04
Provide social or entertainment events as a reward05
Do not have the capacity to reward well-performing teachers00

32. What do you do to discipline teachers who are performing poorly? (You may do more than one of the below, if so please tick ALL that apply) (if you have never disciplined a teacher tick the last box)

Verbal or written warning01
Up to one month’s salary or benefits deducted02
Demotion03
33. What are the annual fees paid by all students in the class for the below services provided by the school in grade 4 and 5?: (N.B. Include only things which parents are expected to pay the school for. If no payment is made to the school for that service enter “00”) This is for the last academic year

<table>
<thead>
<tr>
<th>Service</th>
<th>Fee payable in Birr/ year (If nil or if not payable to school enter “00”)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Grade 4</td>
</tr>
<tr>
<td>Tuition fee (PRIVATE SCHOOLS ONLY)</td>
<td>_______</td>
</tr>
<tr>
<td>Registration fees</td>
<td>_______</td>
</tr>
<tr>
<td>Extra classes</td>
<td>_______</td>
</tr>
<tr>
<td>Extra-curricular activities e.g. school clubs, sports fees</td>
<td>_______</td>
</tr>
<tr>
<td>Textbooks</td>
<td>_______</td>
</tr>
<tr>
<td>School meals/ School feeding contributions</td>
<td>_______</td>
</tr>
<tr>
<td>School security fees</td>
<td>_______</td>
</tr>
<tr>
<td>School construction fees</td>
<td>_______</td>
</tr>
<tr>
<td>Parent-teacher associations</td>
<td>_______</td>
</tr>
<tr>
<td>Other (total)</td>
<td>_______</td>
</tr>
</tbody>
</table>

Section 3 – REFORMS

YOU MAY NEED TO CONSULT RECORDS OR ASK ANOTHER MEMBER OF STAFF TO ANSWE THESE QUESTIONS ACCURATELY (USE ETHIOPIAN CALENDAR)

34. In which year (if any) did this school receive a regulation/circular/directive from the woreda education office instructing the school to implement the self-contained system?
This school has not received any regulation/circular/directive.

35. In which year (if any) did this school implement the self-contained system?

<table>
<thead>
<tr>
<th>Year</th>
<th>1999</th>
<th>2000</th>
<th>2001</th>
<th>2002</th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before 1999</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

This school received a directive but has not implemented it.

This school has not received any regulation/circular/directive.

36. In which year (if any) did this school receive a regulation/circular/directive from the woreda education office instructing the school to implement automatic promotion?

<table>
<thead>
<tr>
<th>Year</th>
<th>1999</th>
<th>2000</th>
<th>2001</th>
<th>2002</th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before 1999</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

This school has not received any regulation/circular/directive.

37. In which year (if any) did this school implement automatic promotion?

<table>
<thead>
<tr>
<th>Year</th>
<th>1999</th>
<th>2000</th>
<th>2001</th>
<th>2002</th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before 1999</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

This school received a directive but has not implemented it.

This school has not received any regulation/circular/directive.

38. When did this school start teaching a full day (e.g. 8.00-16.00) instead of teaching a half day (e.g. 8.00-12.00) or teaching in two shifts in Grades 1 to 4?

<table>
<thead>
<tr>
<th>Year</th>
<th>1999</th>
<th>2000</th>
<th>2001</th>
<th>2002</th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before 1999</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

This school does not teach a full day in Grades 1 to 4.
39. When did this school start teaching a full day (e.g. 8.00-16.00) instead of teaching a half day (e.g. 8.00-12.00) or teaching in two shifts in Grades 5 to 6?


This school does not teach a full day in Grade 5 to 6.

40. When did this school start teaching a full day (e.g. 8.00-16.00) instead of teaching a half day (e.g. 8.00-12.00) or teaching in two shifts in Grades 7 to 8?


This school does not teach a full day in Grade 7 to 8.

41. In which year (if any) did this school receive a regulation/circular/directive from the woreda education office instructing the school to teach a full day (e.g. from 8:00-16:00), instead of teaching half a day (from 8:00-12:00) or teaching two shifts?


This school has not received any regulation/circular/directive.

42. Has the school taught for a full day (e.g. from 8:00-16:00) before this year?

Yes: 01  No: 00

43. In which year (if any) did this school implement the teaching of students in the main language of the community?


This school has not implemented teaching in the main language.

44. In which year (if any) did this school receive a regulation/circular/directive from the woreda education office which told the school to teach in the main language of the community?


This school has not received any regulation/circular/directive.
45. In which year (if any) did this school receive a regulation/circular/directive from the woreda education office which told the school to teach certain subjects in English for certain grades?

Before 1999 01  1999_02  2000_03  2001_04  2002_05  2003_06  2004_07  2005_08  This school has not received any regulation/circular/directive-

46. In which year (if any) did this school start teaching mathematics in English in certain grades?

Before 1999_01  1999_02  2000_03  2001_04  2002_05  2003_06  2004_07  2005_08  This school does not teach mathematics in English.