

**OLDER COHORT
HOUSEHOLD QUESTIONNAIRE
ROUND 5
INDIA**

Data Handlers

Section 1	Household Roster
Section 2	Household Education
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Codeboxes

DATA HANDLERS

Date of Interview

Q.1	Date of interview Enter day, month and year.	[___ / ___ / _____] DD MM YYYY
Q.2	Fieldworker ID	[___]

Date of Supervisor Check

Q.3	Date of Supervisor Check Enter day, month and year.	[___ / ___ / _____] DD MM YYYY
Q.4	Supervisor ID	[___]

1. HOUSEHOLD ROSTER

FULL HOUSEHOLD ROSTER

FIELDWORKER: Check that prefilled details for previous household members are correct and correct, if necessary. Please administer the following table row by row. After asking for all previous household members (PHHMs), add new members at the end of the roster by adding new rows to the table.

Q.1	FIELDWORKER: Is this the R4 Household? 00 = No 01 = Yes ▶ Skip to Q.4		[_ _ _]							
ID of household member	Q.2 Full name [PREFILLED FOR PHHMs] [CAPI: Enable for all new HHMs]	Q.3 Did [HHM] move to the new household? 00 = No 01 = Yes 02 = Person has died 03 = New HHM in R5 [CAPI: Enable question only if Q.1 = No; Disable question for new HHMs]	Q.4 Does [HHM] still live in the household? 01=Yes, lives in household ▶ Skip to Q.6 02=No, lives elsewhere temporarily ▶ Skip to Q.6 03=Person has died 04=No, lives elsewhere permanently ▶ Skip to Q.6 05=Person not known by the household ▶ Skip to Q.6 06=Other, specify ▶ Skip to Q.6	Q.5 Which year did [HHM] die in? 77=NK 79=Refused to answer ▶ Once answered, Skip to next row	Q.6 Age in R4 [PREFILLED FOR PHHMs]	Q.7 How old is [HHM]? [PREFILLED FOR PHHMs] 00=Less than 1 year Enter in completed years ▶ If not 00, Skip to Q.8 -77=NK -89=NA [CAPI: validation check for age changes between R4 & R5 out of plausible range]	Q.8 Age in completed months Enter 00-11. -77=NK	Q.9 Sex [PREFILLED FOR PHHMs] 01=Male 02=Female 03=Third gender/Transgender	Q.10 Relationship to [YL Child] in R4 [PREFILLED FOR PHHMs]	Q.11 Relationship to [YL Child] [PREFILLED FOR PHHMs] Enter code from CODEBOX #0
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _] Specify	[_ _ _ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _] Specify	[_ _ _ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _] Specify	[_ _ _ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]

YL CHILD AND YL CHILD MOTHER'S PLACE OF BIRTH

		FIELDWORKER: The following questions ask about [YL Child]'s and [YL CHILD]'s mother's place of birth. [CAPI: enable for [YL CHILD] and [YL CHILD's mother] only if information missing from previous rounds]				
		SAY: Where was [YL Child]/ [YL CHILD's mother] born? Enter state, district, mandal and village.				
ID of household member	Household member name [PREFILLED FROM FULL HOUSEHOLD ROSTER]	Q.10 Was [YL Child] / [YL CHILD's mother] born in this village? 00=No 01=Yes ▶ Skip to Q.15 77=NK	Q.11 State	Q.12 District	Q.13 Mandal	Q.14 Village
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]

FIELDWORKER: Ask who is the current head of the household. If different from Round 3, probe the reported response. The household head is defined as the person that has control over the family in the household. If the respondent considers more than one person as household head, ask which of these controls the

Household head in Round 4 [PREFILLED FROM ROUND 4]		[_ _ _]
Q.15	Who is the head of the household? Identify the person from the full household roster. [CAPI: control so only one head per household]	[_ _ _]

Current Household Roster

FIELDWORKER: These questions refer only to current household members (include all previous household members still living in the household AND the new household members).

		FIELDWORKER: Ask the following question to all household members 5-17 years old .		FIELDWORKER: Ask the following question to all household members 12 and above . [CAPI: enable only for HHMs aged 12+]				
ID of household member	Household member name [PREFILLED FROM FULL HOUSEHOLD ROSTER]	Q.16 Which of the following origins does [HHM] belong to? 21=SC 22=ST 23=BC 24=OC 77=NK 79=RTA 88=NA [PREFILLED FOR PHHMs]	Q.17 When is [HHM]'s birthday? Write only MONTH. [CAPI: Enable NK option] [CAPI: disable for Index Child]	Q.18 What is [HHM]'s relationship to [HOUSEHOLD HEAD]? Enter code from CODEBOX #1. [CAPI: HH Heads identified in Q15 should have relationship coded as Household head - disable response]	Q.19 What is [HHM]'s marital status? Enter code from CODEBOX #2.	Q.20 Does [HHM] have a permanent disability that affects his/her work capacity? 00=No 01=Yes 77=NK	Q.21 Who is [HHM]'s biological mother? Enter ID from household roster. If not in the household, enter 98; if death, enter 99. 98=Does not live in household 99=Died	Q.22 Who is [HHM]'s biological father? Enter ID from household roster. If not in the household, enter 98; if death, enter 99. 98=Does not live in household 99=Died
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]
[_ _ _]	[_ _ _ _ _]	[_ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]	[_ _ _]

FIELDWORKER: The following questions will be answered by you only. Once finished updating the household roster, check with the respondent that all current household members have been included, and correct if necessary.

Q.23	Tick if the current household roster is complete.	<input type="checkbox"/>
Q.24	Tick if this is a polygamous household.	<input type="checkbox"/>
Q.25	FIELDWORKER: This refers if the household head has more than one spouse. Do NOT ask the respondent.	<input type="checkbox"/>
Q.25	ID of respondent for this section Identify the respondent for this section from the household roster.	[_ _ _]

2. HOUSEHOLD EDUCATION

Q.1	Respondent for this section Enter household member from the household roster.	[___]
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FOR AGES 18 AND OVER

SAY: First, I am going to ask about the education level of all current household members aged **18 years old and over**, starting with [YL Child].

		Q.2	Q.3	Q.4
ID of household member	Household member name	Has [HHM] ever attended or is he/she currently attending school?	What was the highest grade that [HHM] <u>completed</u> (excluding pre-primary)?	Is [HHM] currently in full-time education?
[PREFILLED FROM HOUSEHOLD ROSTER]	[PREFILLED FROM HOUSEHOLD ROSTER]	00=No ► Skip to next row 01=Yes 77=NK ► Skip to next row	00=None GRADE 01-12; 13=Post-secondary technological institute 14=Vocational 15=Degree (graduate) 16=Post-graduate degree (e.g. Masters, PhD.) 17=Other, specify 28=Adult literacy 29=Religious education 77=NK 88=NA 79=Refused to answer	00=No, 01=Yes, attending regularly 02=Yes, but attending irregularly 03=Yes, distance learning 77=NK 79=Refused to answer 88=NA
[___]	[_____]	[___]	[___] Spec. _____	[___]
[___]	[_____]	[___]	[___] Spec. _____	[___]
[___]	[_____]	[___]	[___] Spec. _____	[___]

FOR AGES 5 TO LESS THAN 18

SAY: Now I will ask about the education of all **5 to less than 18 year olds**.

FIELDWORKER: Ask the following questions if [HHM] is currently NOT in school, <u>but attended earlier</u> . (Q.5=01 AND Q.7=00)	FIELDWORKER: Ask the following questions if [HHM] is currently in full-time education. (Q.7=01 or 02 or 03)
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		Q.5	Q.6	Q.7	Q.8	Q.9	Q.10
ID of household member	Household member name	Has [HHM] ever attended or is he/she currently attending school?	What was the highest grade that [HHM] <u>completed</u> (excluding pre-primary)?	Is [HHM] currently in full-time education?	Why is [HHM] currently NOT in full-time education?	How old was [HHM] when s/he completed/ stopped full time education?	What type of school is [HHM] attending?
[PREFILLED FROM HOUSEHOLD ROSTER]	[PREFILLED FROM HOUSEHOLD ROSTER]	00=No ► Skip to Q.8 01=Yes 77=NK ► Skip to next row	00=None Grade=01-12 13=Incomplete technical college 14=Complete technical college 15=Incomplete degree (graduate) 16=Complete degree (graduate) 18=Other, specify 77=NK 79=Refused to answer 88=NA	00=No 01=Yes, attending regularly ► Skip to Q.10 02=Yes, but attending irregularly ► Skip to Q.10 03=Yes, distance learning ► Skip to Q.10 77=NK 79=Refused to answer	Enter code from CODEBOX #3 . Once answered, if <u>never</u> enrolled ► Skip to next row	77=NK 79=Refused to answer Enter age in completed years.	01= Private (unaided) 02= NGO/Charity/Religious (not for-profit) 03= Public (Government) 04=Informal or non-formal Community (e.g. mothers' cooperative) 06= Charitable trust 07=Bridge school 08=Mix of public and private (private aided) 11=Other, specify 77=NK 79=Refused to answer
[___]	[_____]	[___]	[___] Spec. _____	[___]	[___] Spec. _____	[___]	[___] Spec. _____
[___]	[_____]	[___]	[___] Spec. _____	[___]	[___] Spec. _____	[___]	[___] Spec. _____
[___]	[_____]	[___]	[___] Spec. _____	[___]	[___] Spec. _____	[___]	[___] Spec. _____

3. LIVELIHOODS AND ASSET FRAMEWORK

Q.1	Respondent for this section Enter household member from the household roster.	[_ _]
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SAY: I am going to ask you about what the members of this household do to make a living (which economic activities they do) and about the

3.1 LAND USE

SAY: First I will ask you about any agricultural land you may have owned, borrowed or rented.

Q.2	<p>In the last agriculture year (i.e. June 2015 to May 2016), has anyone in your household <u>owned, borrowed or rented</u> any agricultural land?</p> <p>Include plots that are sharecropped-in and sharecropped-out.</p> <p>00=No ► Answer Q.3 and once answered, go to Q.6. 01=Yes</p>	<input type="radio"/> No <input type="radio"/> Yes
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SAY: Now I am going to ask you some questions about any agricultural (e.g. growing crops, pasture, etc.) and non-agricultural land (e.g. living accomodation, business, etc.) you own, borrow or rent.

Land ID	Land Type	Non-Agricultural Land	Agricultural Land	
		Q.3	Q.4	Q.5
		Total area of non-agricultural land FIELDWORKER: This includes both land used for living accomodation as well as industry and other uses Includes if land is used for accomodation plus other uses. If more than one plot, ask for the sum of all plots. Enter in acres and cents. 0000 = No non-agricultural land	Total area of agricultural land FIELDWORKER: If more than one plot, ask for the sum of all plots. Enter in acres and cents. 0000=No agricultural land ► Skip to Q.6	What proportion of the land was irrigated in the last dry season? 01=Less than half 02=Half 03=More than half 04=All 05=No land was irrigated in dry season 77=NK 79=Refused to answer 88=NA
1	Owned (including leased-out)	[_ _ . _ _]	[_ _ . _ _]	[_ _]
2	Land that is not owned	[_ _ . _ _]	[_ _ . _ _]	[_ _]

Q.6	<p>What is the total area of leased-out / sharecropped-out land?</p> <p>FIELDWORKER: If more than one plot, ask for the sum of all plots.</p>	[_ _ . _ _]
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SAY: The following questions refer to any of the owned, borrowed or rented land we have just asked you about.

Q.7	<p>Is [OC YL CHILD] the main decision maker about <u>how any of this land is used</u>?</p> <p>00=No 01=Yes</p>	<input type="radio"/> No <input type="radio"/> Yes
Q.8	<p>Is [OC YL CHILD] the main decision maker about <u>how proceeds from any of this land are used</u>?</p> <p>00=No 01=Yes</p>	<input type="radio"/> No <input type="radio"/> Yes
Q.9	<p>Does [OC YL CHILD] have a land certificate for any of this land?</p> <p>00=No 01=Yes</p>	<input type="radio"/> No <input type="radio"/> Yes

3. LIVELIHOODS AND ASSET FRAMEWORK

3.2 LIVESTOCK AND PRODUCTIVE ASSETS

LIVESTOCK

SAY: Now I will make you some questions about livestock and other productive assets that you or any household member have had in the last 12 months.

Q.1	Has anyone in the household owned any livestock in the <u>last 12 months</u> ? FIELDWORKER: Do not include pets. 00 = No ► Skip to Q.5 01 = Yes	[___]
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FIELDWORKER: Administer this table first by column (Q.2 only) and then, only for the animals that the household has, ask Q.3, Q.4 and Q.5 row by row.

Type of Livestock	Q.2	Q.3	Q.4
	Has anyone in the household owned any of the following animals at any time in the last 12 months? If NO tick ► Skip to next row	How many of the following animals does the household currently own? -77 = NK	If you were to sell or rent all of [NAME OF ANIMAL], can [YL child] dispose entirely or partially of the money obtained?
Milk animals			
1 Cow (modern variety)	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
2 Cow (traditional variety)	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
3 Calves	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
4 Buffalo (modern variety)	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
5 Buffalo (traditional variety)	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
6 Heifer	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
Plough or Draught animals			
7 He-buffalo	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
8 Ox/Bullock	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
9 Donkey/horse/Other	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
10 Bull Calf / young Bull	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
Small Ruminants			
11 Sheep	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
12 Goat	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
13 Pigs	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
14 Poultry/birds	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
15 Rabbits	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
Country-specific			
16 Bees/Beehives	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
17 Fish Ponds	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
18 Marine Shrimp Tanks	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
19 Fresh Water shrimp tanks	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
20 Others (Specify)	<input type="radio"/> No <input type="radio"/> Yes	[___]	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK

PRODUCTIVE ASSETS

SAY: Now I will ask you some questions about agricultural and non-agricultural assets (e.g. machinery, equipment, tools, etc.) that the household may have had in the last 12 months to work or generate any income. These could be owned, rented or borrowed.

Q.5	Do you have any assets (owned, rented, or borrowed) that allow your household to work and generate income? 00=No ► Skip to next section 01=Yes	[___]
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Asset ID	Q.6		Q.7	Q.8
	Assets – Owned, rented or borrowed	Total number of assets	For which activity is this asset used? Enter code from CODEBOX #5 .	How many of them do you currently own? Enter number owned. 00=None (all rented or borrowed) -77=NK -79=Refused to answer
01	[___]	[___]	[___]	[___]
02	[___]	[___]	[___]	[___]
03	[___]	[___]	[___]	[___]

4. ECONOMIC CHANGES AND RECENT LIFE HISTORY

Q.0	Respondent for this section Enter household member from the household roster.	[_ _]
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4.1 ECONOMIC CHANGES

SAY: I am now going to ask you to think about your current circumstances and how and why they might have

Q.1	How would you describe the household you were living in? FIELDWORKER: Please read the alternatives	01=Very rich 02=Rich 03=Comfortable – manage to get by 04=Struggle – never have quite enough 05=Poor 06=Destitute 77=NK 79=Refused to answer 88=NA
01	Currently	[_ _]
02	THREE years ago (around time of previous visit in [MONTH AND YEAR OF R4 INTERVIEW])	[_ _]

FIELDWORKER: If situation has NOT changed (Q.1-01 = Q.1-02) ► Skip to Q.3

Q.2	Please give the two main reasons why your situation has changed compared to <u>three years ago (around time of previous visit in [MONTH AND YEAR OF R4 INTERVIEW])</u> . FIELDWORKER: Do not prompt answers. Enter code from CODEBOX #6	
01	Reason 1	[_ _] Other, specify
02	Reason 2	[_ _] Other, specify

Q.3	Compared to other households in this VILLAGE/SUBURB, would you describe your household at the moment as: 01=The richest 02=Among the richest 03=Richer than most households 04=About average 05=A little poorer than most households 06=Among the poorest 07=The poorest 77=NK 79=Refused to answer 88=NA	[_ _]
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4. ECONOMIC CHANGES AND RECENT LIFE HISTORY

4.2 SHOCKS

SAY: Now I am going to ask you about the most important events and changes that have happened (that negatively affected the household economy) since [MONTH AND YEAR OF R4 INTERVIEW].

FIELDWORKER: Administer the following table asking first Q.1 by column and once you go through all the shocks, ask from Q.2 row by row.

SHOCKS AND CHANGES	Q.1	Q.2			
	Has [SHOCK NAME] happened since [MONTH AND YEAR OF R4 INTERVIEW]? 00=No ► Skip to next row 01=Yes	Indicate if each shock happened in any of these years. FIELDWORKER: Please tick in the box under the year in which the shock happened.			
		2013	2014	2015	2016
1 Theft or destruction (e.g. cash, crops, livestock, destruction of housing)	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10 Forced contributions or arbitrary taxation or protection money	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12 Large increase in input prices	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13 Large decrease in output prices	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
47 Increase in the price of food that I buy	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14 Livestock died	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16 Job loss/source of income/family enterprise	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
23 Disputes with neighbours/village members regarding land or assets	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
24 Drought	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25 Too much rain or flood	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
26 Erosion, Cracks or landslide	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
27 Frosts or hailstorm	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28 Pests or diseases that affected crops before they were harvested	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29 Crops failed	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30 Pests or diseases that led to storage losses	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
31 Pests or diseases that affected livestock	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
32 Fire or collapse of building	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
34 Death of [YL Child]'s father	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
35 Death of [YL Child]'s mother	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
36 Death of another person in the household	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
37 Illness of [YL Child]'s father	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
38 Illness of [YL Child]'s mother	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
39 Illness of other household member	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
61 Illness of non-household member	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
40 Divorce, separation or abandonment	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
41 Birth/new household member	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
42 Child's school enrolment – having to pay school fees	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
45 Other, specify	[___]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Q.3	Q.4	Q.5	
Indicate the 3 most important negative events that affected the economic situation of the household. Enter events by order of importance. 88=NA ► Skip to next sub-section	Years in which shock happened. [PREFILLED FROM Q.2]	How much does this economic loss represent of the income generated by the household in this year? 01=No economic loss 02=A small proportion of the household's income 03=Less than half of the household's income 04=Half or more than half of the household's income 77=NK 79=Refused to answer 88=NA	What did your household do in response to this event? Choose up to 3 responses <u>per shock</u> , but list in order of importance. Enter code from CODEBOX #7
Most important event [___]	2013	[___]	[___] Specify
	2014	[___]	[___] Specify
	2015	[___]	[___] Specify
	2016	[___]	[___] Specify
Second most important event [___]	2013	[___]	[___] Specify
	2014	[___]	[___] Specify
	2015	[___]	[___] Specify
	2016	[___]	[___] Specify
Third most important event [___]	2013	[___]	[___] Specify
	2014	[___]	[___] Specify
	2015	[___]	[___] Specify
	2016	[___]	[___] Specify

5. SOCIO-ECONOMIC STATUS

Q.0	Respondent for this section Enter household member from the household roster.	[_ _]
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SAY: Now I am going to ask some questions about the place where you live.

Q.1	Does anyone in your household own your house? 00=No ► Skip to Q.3 01=Yes 77=NK	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
Q.2	Does anyone in your household have a mortgage on your house? 00=No 01=Yes 77=NK	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
Q.3	How many rooms are there in the house? 77=NK	[_ _]
Q.4	Do you have a separate kitchen/cooking area? 00=No 01=Yes 77=NK	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
Q.5	Do you have electricity? 00=No 01=Yes 77=NK	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK

FIELDWORKER: Observe building's main material and answer the following questions.

Q.6	Wall Enter codes from CODEBOX #8	[_ _] Specify _____
Q.7	Roof Enter codes from CODEBOX #9	[_ _] Specify _____
Q.8	Floor Enter codes from CODEBOX #10	[_ _] Specify _____
Q.9	What is the main source of drinking water for members of your household? Enter codes from CODEBOX #11	[_ _] Specify _____
Q.10	What kind of toilet facility does your household use? Enter codes from CODEBOX #12 If 02=Forest/field/open place ► Skip to Q.14.	[_ _] Specify _____
Q.11	Do women in the household use it? 00=No 01=Yes	<input type="radio"/> No <input type="radio"/> Yes
Q.12	Do men in the household use it? 00=No 01=Yes	<input type="radio"/> No <input type="radio"/> Yes
Q.13	Do children in the household use it? 00=No 01=Yes	<input type="radio"/> No <input type="radio"/> Yes
Q.14	What is the main type of fuel you use for cooking? Enter codes from CODEBOX #13 .	[_ _] Specify _____
Q.15	Is heating used in this area? 00=No ► Skip to Q.17 01=Yes 77=NK ► Skip to Q.17	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK
Q.16	What is the main type of fuel you usually use for heating? Enter code from CODEBOX #13	[_ _] Specify _____
Q.17	Have you invested in the improvement of your dwelling during the last 3 years ? 00=No ► Skip to Q.19 01=Yes 77=NK ► Skip to Q.19	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> NK

Q.18	What have you invested in?	[_ _]
	Enter up to 3 codes from CODEBOX #14	Specify _____
	FIELDWORKER: If less than 3 answers are provided, fill in the remaining options with 88=NA.	[_ _]
		Specify _____
[_ _]		
		Specify _____

HOUSEHOLD ITEMS

SAY: I am now going to ask you whether the household owns specific items.

FIELDWORKER: Ask about each item. Then ask which five are most valuable and for these five, ask for the value.

	Household Items	Q.19	Q.20
		Does anyone in the household own this item? Tick in the box if anyone owns it. If NO tick ► Skip to next row	How many does the household own? Enter number of items. 77=NK
1	Working television	<input type="checkbox"/>	[_ _]
2	Working radio	<input type="checkbox"/>	[_ _]
3	Working car/ truck/ automobile	<input type="checkbox"/>	[_ _]
4	Working motorbike/scooter	<input type="checkbox"/>	[_ _]
5	Working bicycle	<input type="checkbox"/>	[_ _]
6	Working landline telephone	<input type="checkbox"/>	[_ _]
7	Working mobile/cell telephone	<input type="checkbox"/>	[_ _]
8	Table / chair	<input type="checkbox"/>	[_ _]
9	Sofa	<input type="checkbox"/>	[_ _]
10	Working Fan	<input type="checkbox"/>	[_ _]
11	Bedstead	<input type="checkbox"/>	[_ _]
12	Working refrigerator	<input type="checkbox"/>	[_ _]
13	Gas/electric stove	<input type="checkbox"/>	[_ _]
14	Computer/laptop	<input type="checkbox"/>	[_ _]
15	Working videocassette player/DVD player	<input type="checkbox"/>	[_ _]
16	Videogames	<input type="checkbox"/>	[_ _]
17	Microwave	<input type="checkbox"/>	[_ _]
18	Washing machine	<input type="checkbox"/>	[_ _]
19	Dryer Machine	<input type="checkbox"/>	[_ _]
20	Other, specify _____	<input type="checkbox"/>	[_ _]

Q.21		Q.22	
From these items, which are the five most valuable ones (in terms of their <u>monetary</u> value) owned by the household?		If you were to sell these items, how much do you think they would fetch? Enter in Rupees.	
01	Item #1	[_ _]	[_ _]
02	Item #2	[_ _]	[_ _]
03	Item #3	[_ _]	[_ _]
04	Item #4	[_ _]	[_ _]
05	Item #5	[_ _]	[_ _]

7. PUBLIC PROGRAMMES

Q.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]
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PUBLIC DISTRIBUTION SYSTEM (PDS)

Q.1	Are you accessing PDS ? 00=No ► Skip to Q.5 01=Yes	<input type="radio"/> No <input type="radio"/> Yes
Q.2	Which type of card do you hold? 01=APL card ► Skip to Q.5 02=BPL card 03=ANTYODAYA card 04=ANNAPURNA card 77=NK 79=Refused to answer 88=NA	[___]
Q.3	Are you satisfied with the quality of the service? 00=No 01=Yes ► Skip to Q.5	<input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> Refused to answer
Q.4	Why are you not satisfied? 01=Inconvenient hours to receive food, 02=Poor quality food, 03=Quantity of food insufficient, 04=Irregular supply, 05=Inconvenient location to receive food, 06=Unpleasant experience with food distributors; 07=Other, specify 77=NK 79=Refused to answer 88=NA	[___] Specify _____

NATIONAL RURAL EMPLOYMENT GUARANTEE SCHEME (NREGS)

Q.5	Does your household have job card under the NREGS? 00=No ► Skip to Q.16 01=Yes	<input type="radio"/> No <input type="radio"/> Yes
Q.6	What is your household's job card number? FIELDWORKER: See job card and enter number. If respondent does not have the card or does not know the number, please code as "0000".	[_____]
Q.7	Over the last 12 months, have you or anyone in your household worked for the NREGS? 00=No ► Skip to Q.16 01=Yes	<input type="radio"/> No <input type="radio"/> Yes
Q.8	Has your household received unemployment allowance under NREGS since [MONTH AND YEAR OF R4 INTERVIEW]? 00=No, I did not need it 01=No, tried but not accessed 02=Yes 77=NK 79=Refused to answer 88=NA	[___]

SAY: Now I am going to ask you about each household member registered with the NREG scheme. Please refer to P.O passbook, bank passbook, and job card.

FIELDWORKER: Ask for two separate periods: the last 'Lean season' (Mar 2016 to Jun 2016) and last 'Rabi and Kharif harvests' (Jul 2015-Feb 2016).

Q.9	Who in your household is registered with the NREG schemes? Enter household members from household roster.	Last 'Lean season' (Mar 2016 to June 2016)		Last 'Rabi and Kharif harvests' (July 2015-Feb 2016)	
		Q.10	Q.11	Q.12	Q.13
		How many days employment was provided for [HHM]? -77=NK	How much did [HHM] receive for this work? Enter in Rupees. -77=NK -79=Refused to answer -88=NA	How many days of employment was provided for [HHM]? -77=NK	How much did [HHM] receive for this work? Enter in Rupees. -77=NK -79=Refused to answer -88=NA
01	[___]	[___]	[___]	[___]	[___]
02	[___]	[___]	[___]	[___]	[___]
03	[___]	[___]	[___]	[___]	[___]
04	[___]	[___]	[___]	[___]	[___]

FIELDWORKER: Ask these questions only if [YL Child] is a girl.

Q.14	Has [YL Girl] not participated in NREGS because of lack of child care facility? 00=No 01=Yes	[___]
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Q.15	Was [YL Gir] refused employment under NREGS because of being a single woman? 00=No 01=Yes	[_ _]
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RAJIV AROGYASRI (RA) HEALTH INSURANCE SCHEME

Q.16	Do you have Rajiv Arogyasri Card? 00=No ► Skip to Q.19 01=Yes	<input type="radio"/> No <input type="radio"/> Yes
Q.17	What is the card number?	[_____]
Q.18	Have you accessed this scheme for the [YL Child]'s health related issues? 00=No, I did not need it 01=No, tried but not accessed 02=Yes 77=NK 79=Refused to answer	[_ _]

AROGYA LAKSHMI

[CAPI: Enable Q.19 to Q.24 if household is located in State of Telangana]

Q.19	Is the household located in the state of Telangana? 00 = No ► Skip to Q.25 01=Yes	[_ _]
Q.20	Has anyone in your household accessed the Arogya Lakshmi program? 00 = No ► Skip to Q.25 01=Yes	
Q.21	When did your household accessed this program? (after 2013-2014) Enter year	[_ _ _ _]
Q.22	Did you receive any benefits in cash? 00 = No ► Skip to Q.24 01=Yes	[_ _]
Q.23	How much did you receive in cash? Approximate value in Rupees	[_ _ _ _ _]
Q.24	[CAPI: asked only to married girls] Has [YL CHILD] benefitted through this program? 00 = No 01=Yes	[_ _]

IKP - CREDIT PROVISION

Q.25	Did anyone in the household benefit from this programme since [MONTH AND YEAR OF R4 INTERVIEW]? 00=No, I did not need it ► End of interview 01=No, tried but not accessed ► End of interview 02=Yes 77=NK ► End of interview 79=Refused to answer ► End of interview 88=NA ► End of interview	[_ _]
Q.26	Who is the main person in the household who benefited from this programme? Enter member from the household roster. 80=Whole household 90=Not in the household anymore	[_ _]

CODEBOXES

Household Roster

Codebox #0: Relationship to YL child

00=YL Child
01=Biological parent
07=Brother/sister (both parents the same)
16=Brother/sister-in-law (spouse of sibling)
06=Paternal grandparent
05=Maternal grandparent
18=Great grandparent (father's side)
17=Great grandparent (mother's side)
13=Uncle/Aunt
14=Cousin (inc. cousin brother & cousin sister)
15=Nephew/Niece
25=Partner/Spouse of YL Child
27=Child of YL Child
26=Father-in-law/Mother-in-law
28=Brother-in-law/Sister-in-law (sibling of YL Child's spouse)
20=Servant (farm-worker, maid, etc.)
02=Step-parent (partner of biological parent)
03=Adoptive parent
24=Adoptive parent (adopt legal)
04=Foster parent
10=Step-sibling (no parent in common)
08=Half-sibling (same father)
09=Half-sibling (same mother)
11=Adoptive brother/sister
12=Foster brother/sister
19=Other, relative
21=Tenant/lodger
23=Nanny (live-in)
22=Other, unrelated
77=NK
88=NA
79=Refused to answer

Codebox #1: Relationship to household head

01=Spouse/Partner
02=Son/Daughter
03=Father/Mother
04=Brother/Sister
05=Grandchild
06=Son-in-law/Daughter-in-law
07=Father-in-law/Mother-in-law
08=Brother-in-law/Sister-in-law
09=Nephew/Niece
10=Uncle/Aunt
11=Cousin (inc. cousin brother & cousin sister)
12=Other relatives, specify
13=Domestic worker (servant, nanny)
14=Tenant / lodger
15=Other non-relatives, specify
88=NA
77=NK
79=Refused to answer

Codebox #2: Marital status

00=Single (Never married)
01=Married
02=Cohabitant
03=Widow(er)
04=Divorced
05=Separated
88=NA
77=NK
79=Refused to answer

Household Education

Codebox #3: Reasons for not attending school

01=Fees too expensive	09=Banned from school for behaviour reasons	17= No need for schooling for future job.	25= Family member ill/disabled/elderly (including care for this family member)	33 = wanted to start working
02=Books and/or other supplies too expensive	10=Banned from school because away for too long	18= Need to learn a trade/skill, e.g. through apprenticeship so went to work	26= Family issues e.g. problems at home – parent disputes/marital conflict	88=NA
03= Shoes/Clothes/Uniform for school too expensive	11= Banned from school because failed to achieve necessary grade/level at school	19=Need to stay home to look after siblings	27=Stigma and Discrimination (child was not be welcome because of ethnic group/caste/socioeconomic group etc.)	77=NK
04= Transport too expensive	12= Quality of education at school (teaching and learning) poor	20=Needed for domestic and/or agricultural work at home (include chores, farm work, harness)	28= School not accessible for seasonal reasons: river prohibits access	79=Refused to answer
05= School too far from home	13= Quality of care (food, non-educational care) poor	21=Have to do paid work to earn money(including agricultural work other than for household)	29 = Child too young	
06=Not safe to travel to school	14= No sanitation facilities at school	22= It's not appropriate for girls to go to/continue at school	30 = Child still in pre-school	
07= Lack of transport	15= Bullying/abuse from peers	23=Marriage	31=Other, specify	
08=Truancy, child does not want to go, not interested	16= Ill-treatment/abuse from teachers/principal	24= Disability, illness	32 = terminated the course of education in which I was enrolled	

Livestock and Productive Assets

Codebox #4: Productive assets

Agricultural assets:		Non-agricultural assets:	
01=Agricultural tools (e.g. sickle, crowbar, shovels)	09=Barber tools (scissors, mirror, etc.)	17=Mechanic equipment (jack, tires, jumper cables, etc)	25=Transport (minibus, car, motorbike, bicycle, etc.)
02=Cart/ wheelbarrow	10=Beauty salon equipment (hair dryer, etc.)	18=Plumbing equipment	26=Weaving equipment (loom, etc.)
03=Pesticide sprayer	11=Blacksmith tools	19=Pottery equipment (kiln, potters wheel, etc.)	30=Other
04=Plough	12=Cleaning/domestic work equipment (mop, iron, etc.)	20=Protective clothing (overalls, steel-toed boots, hardhat, etc.)	88=NA
05=Pump (motor or engine)	13=Construction tools (electrical tools, carpentry tool box, etc.)	21=Sewing machine	77=NK
06=Thresher	14=Entertainment equipment (musical instruments, speakers, lighting, karaoke machine, etc.)	22=Teaching supplies (books, blackboard, etc.)	79=Refused to answer
07=Tractor	15=Food preparation equipment (portable stove, serving bowls, etc.)	23=Trading equipment (display table, weighing machine, money box, etc.)	
08=Other farm equipment	16=Gun	24=Trading license (e.g. for market stall)	

Codebox #5: Occupation related to productive assets

01=Army	12=Food preparation/Restaurant	23=Trading (selling commodities)	77=NK
02=Barber shop	13=Forestry/Logging	24=Transportation/ Driver/ Courier/ Taxi	79=Refused to answer
03=Beauty salon	14=Handicrafts or art	25=Weaving	
04=Blacksmith	15=Hunting	27=Collecting fire wood/dung/fetching water for sell	
05=Casual/ intermittent labour (e.g. road crew)	16=Mechanic services	28=civil servant	
06=Child care	17=Nursing/ Medicinal services	29=cash/food for work	
07=Construction	18=Plumbing service	30=fishing	
08=Domestic work/ Cleaning/Janitorial Fishing	19=Pottery	31=house renting	
09=Entertainment services (DJ, singing, etc.)	20=Security guard	32=washermen	
10=Factory Work	21=Tailor/Sewing	26=Other	
11=Farming/Agriculture	22=Teaching	88=NA	

Economic Changes

Codebox #6: Why situation changed

Why Situation Improved			
01=Harvests have been good	11=Have more children	21=Set up a new business or trade	32=S/he drinks less (alcohol)
02=Started growing new crops	12=Children older and more able to work	22=Started work or new job/non-farm work	33=Government -run employment Programs
03=Tried new farming techniques	13=Women in household drink less	23=Food or Cash aid	34=Spouse started work or a new job
04=Managed my crops or livestock well	14=Men in household drink less	24=Involment in safety net programs food for work/cash for work income generation programme	35=Received a raise for current job
05=Accumulated livestock	15=More people around to help, advise (Better Networks)	25=Panchayat is providing all facilities	36=Both husband and wife work
06=Accumulated other resources, assets, possessions	16=Job security (continuous security)	26=Migration	37=Involment in farmers' union
07=My own health has improved	17=Live in a nicer house	27=Was willing to take risks	31=Other
08=Spouse's health has improved	18=Managed my business well	28=Prices have risen	
09=Spouse works hard	19=Worked hard	29=Good Luck	
10=Have new spouse	20=Own business or trade has been profitable	30=God has helped	
Why Situation Worsened			
41=Harvests have been poor	51=I was lazy	61=Less people around to help, advise (Poorer Networks)	71=God has not helped
42=Tried new crops but they failed	52=Spouse was lazy	62=Theft/robbery	72=Other
43=Tried new ways of farming but they failed	53=I have been/got ill/injured/disabled	63=Too many loans	88=NA
44=Managed crops or livestock poorly	54=Spouse has been/got ill/injured/disabled	64=Did not want to take risks	77=NK
45=Has less livestock	55=Spouse died	65=Prices for goods have fallen	79=Refused to answer
46=Managed my business poorly	56=Children sick or died	66=Increase in price of inputs	
47=Own business failed or didn't do well	57=Children moved away	67=increase in food prices	
48=Tried new business/trade but it failed	58=Large family/too many children/ increased family size	68=House is not as nice as it used to be	
49=Lost job (permanent/temporary)	59=Men in household drink too much	69=Old age	
50=Have fewer other resources, assets, possessions	60=Women in household drink too much (alcohol)	70=Bad luck	

Shocks

Codebox #7: Response to event

01=Ate less	07=Nothing	12=Sent children to work (Excluding index child)	18=Worked more/start work	27=Name withdrawn from school and put to work
02=Bought less/Reduction in household expenditures	08=Received help from the community/leaders	13=Sold possessions/belongings	19=Other (specify)	77=NK
03=Destruction of livestock	09=Received help from relatives/friends	14=Suicide of family member	20=Sold Animals	88=NA
04=Fled/moved away from the problem	10=Received help from Government	15=Took children out of school (Excluding index child)	21=Pawning /Mortgage	79=Refused to answer
05=Insurance paid	28=Received help from NGO	16=Used credit	22=Started looking for a job	
06=Migrated to work/find work	11=Sent children to be cared for by friends or relatives	17=Used savings	23=Sold Properties	

Socioeconomic Status

Codebox #8: Wall material

01=Adobe/mud	05=Fibreboard/Chipboard	09=Mud & stones	13=Quincha	21=Cane and Mud	25=Concrete Block	77=NK
02=Bamboo	06=Galvanised iron	10=Neohouzealla wattle	14=Stone	22=Wood and Mud	16=Other, specify	
03=Brick/concrete	07=Matting	11=Nipa leaves	15=Wood/branches	23=Wood/Triply	88=NA	
04=Cement bags	08=Mud & bricks	12=Plastic sheet	17=Mud and Wood	24=Adobe and stones	79=Refused to answer	

Codebox #9: Roof material

01=AC Roofing sheets	06=Galvanised /Corrugated iron	11=Straw/thatch	16=Wood & mud/adobe	21=Asbestos plates -	77=NK
02=Asbestos sheets	07=Grass	12=Sugar cane leaves	17=Wood & stones	22=Corrugated asbestos sheets	88=NA
03=Bamboo/cane	08=Nipa leaves	13=Tar slabs	18=Wood/planks	23=Cane & mud	79=Refused to answer
04=Concrete/cement	09=Palm leaves	14=Thuthuri sticks	19=Other (specify)	24=Mat	
05=Earth/mud	10=Plastic sheet	15=Tiles/slates	20=Leaves (Achon, omiro chorino, etc.,)	25=Card board	

Codebox #10: Floor material

01= Cement/tile	06= Laminated material	11= Wood	77=NK
02=Cinder	07=Marble stone	12=Other, specify	88=NA
03= Concrete/cement	08=Polished stone	20=Vinyl floor	79=Refused to answer
04= Earth/sand	09= Stone/brick	21=false floor	
05=Granite stone	10=Tar slabs		

Codebox #11: Source of drinking water

01=Bore well	06=Protected spring water	11=Unprotected well/spring/pond/river/stream/ canal	77=NK
02=Bought water (delivery or bottled)	07=Protected well	12=Unprotected water tank	88=NA
03= Piped into dwelling/yard/plot	08=Public standpipe/tubewell	13=Water tank (community/protected)	79=Refused to answer
04=Piped into neighbours dwelling/yard/plot	09=Rain water	14=Other, specify	
05=Piped into relatives' dwelling/yard/plot	10=Tubewell in dwelling/yard/plot		

Codebox #12: Toilet facility

01=Flush toilet/septic tank	06=Pit latrine (household's)	77=NK
02=Forest/field/open place	09=Toilet in health post	88=NA
05=Pit latrine (communal)	10=Other(specify)	79=Refused to answer

Codebox #13: Fuel for cooking/ heating

01=Bamboo	06=Cow dung	11=None	16=Wood
02=Bio-gas	07=Crop residue	12=Rice husk	77=NK

03=Branches	08=Gas/electricity	13=Shavings/sawdust	88=NA
04=Charcoal	09=Kerosene/paraffin	14=Straw/dead plants	79=Refused to answer
05=Coal	10=Leaves	15=Other (specify)	

Codebox #14: Dwelling improvement

01=New/renovated bedrooms	06=Improved wall finish	11=Sanitation	13=Other (specify)
02=New/renovated kitchen	07=Improved roof cover	12=Water supply	77=NK
03=New/renovated bathroom	08=Rebuild dwelling	15=Build new house	88=NA
04=New/renovated living room	09=Electric re-wiring	16=Improve/Build fence/wall around house	79=Refused to answer
05=Improved floor	10=Central heating/gas servicing	17=Improved/New doors/windows	