

**YOUNG LIVES**

**ROUND 4 SURVEY VIETNAM  
NOVEMBER 2013 – JANUARY 2014**

**HOUSEHOLD QUESTIONNAIRE**

**OLDER COHORT**



**Young Lives is funded by UK aid from the  
Department for International Development (DFID)**

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**HOUSEHOLD QUESTIONNAIRE  
OLDER COHORT  
ROUND 4, VIETNAM**

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## 0. HOUSEHOLD ROSTER - ALL HOUSEHOLDS

### Full Household Roster

**FIELDWORKER:** Check that prefilled details for previous household members are correct and correct, if necessary. Please administer the following table row by row. After asking for all previous household members (PHHM's), add new members at the end of the roster by adding new rows to the table.

| FIELDWORKER: The following questions ask about YL child place of birth |   |                                     |   |                                |  |   |   |  |         |         |   |   |
|--|---|-------------------------------------|---|--------------------------------|--|---|---|--|---------|---------|---|---|
| ID of household member   | Q.1.<br>Full name<br>[PREFILLED FOR PHHM's] | Age in R3<br>[PREFILLED FOR PHHM's] | Q.2.<br>Age in completed years R4<br>FIELDWORKER: If PHHM has died since R3 enter -88=NA ► Skip to next household member IF 00 (less than 1 year) ask Q.3, otherwise ► Skip to Q.4) | Q.3<br>Age in completed months | Q.4<br>Sex<br>[PREFILLED FOR PHHM'S]<br><br>01= Male<br>02= Female | Q.5<br>Relationship to [YL Child]:<br>[PREFILLED FOR PHHM'S]<br><br>Enter code from Codebox # 1 | Q.6<br>Was [YL Child] born in this commune?<br><br>00=No<br>01=Yes ► Skip to Q.8<br>77=NK | Q.7<br>Where was child born?<br>FIELDWORKER: Enter PROVINCE, DISTRICT, COMMUNE |         |         | Q.8<br>Does this person still live in the household?<br><br>Người này còn sống trong hộ gia đình không?<br><br>01=Yes still lives in the household ► Skip to Q.10.<br>02=No, lives elsewhere temporarily ► Skip to next HHM<br>03=Has died<br>04=No, lives elsewhere permanently ► Skip to next HHM<br>05 =Person not known by the household ► Skip to next HHM | Q.9<br>Which year did [HHM] die in?<br><br>► Skip to next HHM |
| [__]   | [_____]                                     | [__]                                | [__]  | [__]                           | [__]   | [__]  | [__]  | [_____]  | [_____] | [_____] | [__]  | [_____]   |
| [__]   | [_____]                                     | [__]                                | [__]  | [__]                           | [__]   | [__]  |   |  |         |         | [__]  | [_____]   |
| [__]   | [_____]                                     | [__]                                | [__]  | [__]                           | [__]   | [__]  |   |  |         |         | [__]  | [_____]   |

### Current Household Roster

**FIELDWORKER:** These questions refer only to current household members (include all previous household members still living in the household AND the new household members).

| FIELDWORKER: Ask to all HHM who are 12 and older |                   |  |   |  |  |   |
|--|-------------------|--|---|--|--|---|
| ID of household member                           | Q.10<br>Full name | Q.11<br>What is [HHM] relationship to the household head?<br><br>Enter code from Codebox #2. | Q.12<br>What is her/his marital status?<br><br>Enter code from Codebox # 3. | Q.13<br>Does [HHM] have a permanent disability that affects his/her capacity to work, study or take care of him/herself?<br><br>00=No<br>01=Yes<br>77=NK | Q.14<br>Does the biological mother live in the household? If yes, write her ID.<br><br>98=Not in the household | Q.15<br>Does the biological father live in the household? If yes, write her ID.<br><br>98= Not in the household |
| [__]   | [_____]           | [__]   | [__]  | [__]   | [__]   | [__]  |
| [__]   | [_____]           | [__]   | [__]  | [__]   | [__]   | [__]  |
| [__]   | [_____]           | [__]   | [__]  | [__]   | [__]   | [__]  |
| [__]   | [_____]           | [__]   | [__]  | [__]   | [__]   | [__]  |

(...) Please add new members at the end of the list.

**FIELDWORKER:** The following questions will be answered by you only. Once finished updating the household roster, check with the respondent that all current household members have been included, and correct if necessary.

|      |                                   |  |
|------|-----------------------------------|--|
| Q.16 | Is the household roster complete? | <input type="radio"/> Yes <input type="radio"/> No |
|------|-----------------------------------|--|

|  |      |
|--|------|
| ID of respondent for this section<br>Identify the respondent for this section from the household roster. | [__] |
|--|------|



## 2. LIVELIHOODS AND ASSET FRAMEWORK

**SAY:** I am going to ask you about what people in this household do to make a living and about the assets that the household has access to.

|            |   |         |
|------------|---|---------|
| <b>Q.0</b> | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ ___ ] |
|------------|---|---------|

### 2.1. LAND AND CROPS GROWN

#### LAND USE

**SAY:** Now I am going to ask you some questions about any agricultural and non-agricultural land you own, borrow or rent. By agricultural land, we mean all the land used for growing crops and pasture. By non-agricultural land, we mean all the land used for living accommodation, gardening, etc.

**FIELDWORKER:** Ask Q.1 for all land types of land first and then continue to gather further information (row by row) only for those that were selected (YES)

|   | Q.1  | Q.2   | Q.3  | Q.4  | Q.5   | Q.6  |
|---|--|---|--|--|---|--|
| Land Type   | Household has had any of these types of land in the last 12 months (whether for agricultural or non-agricultural purposes) | What is the total area of agricultural land? Enter in m2<br>-77=NK; -79=Refused to answer.<br><br>-77=NK<br>-79=NA<br><br>If 00=No land ► Skip to Q.5 | What are the main uses of this agricultural land?<br><b>FIELDWORKER:</b> if more than one plot ask for the description of most of the land.<br><br>Enter code from <b>Codebox #7</b> | What proportion of the land was irrigated in the last 12 months?<br><b>FIELDWORKER:</b> Read the alternatives<br><br>01=Less than half<br>02=Half<br>03=More than half<br>04=All<br>05=No land was irrigated | What is the total area of non-agricultural land? Enter in m2<br><br>-77=NK<br>-79=Refused to anse<br><br>If 00=No land ► Skip to next row | What are the main uses of this non-agricultural land?<br><b>FIELDWORKER:</b> if more than one plot ask for the description of most of the land.<br><br>Enter code from <b>Codebox #8</b> |
| With land-use certificate (incl leased-out)       | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to the next line  | [ _____ ]   | [ ___ ]  | [ ___ ]  | [ _____ ]   | [ ___ ]  |
| Borrowed-in                                       | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to the next line  | [ _____ ]   | [ ___ ]  | [ ___ ]  | [ _____ ]   | [ ___ ]  |
| Rented-in   | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to the next line  | [ _____ ]   | [ ___ ]  | [ ___ ]  | [ _____ ]   | [ ___ ]  |
| Sharecropped-in                                   | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to the next line  | [ _____ ]   | [ ___ ]  | [ ___ ]  |   | [ ___ ]  |
| Other (e.g. common property, squatted, mortgaged) | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to the next line  | [ _____ ]   | [ ___ ]  | [ ___ ]  | [ _____ ]   | [ ___ ]  |

**FIEDLWORKER:** Ask Q.7. only if HH OWNS any land

|            |   |           |
|------------|---|-----------|
| <b>Q.7</b> | What is the total extent of leased-out / sharecropped-out land? Enter in m2<br><b>FIELDWORKER:</b> If more than one plot, ask for the sum of all plots.<br><br>-77=NK<br>-79=NA | [ _____ ] |
|------------|---|-----------|

**SAY:** The following questions refer to any of the owned, borrowed or rented land I have just asked you about.

|             |   |  |
|-------------|---|--|
| <b>Q.8</b>  | Is [OC YL CHILD] the main decision maker about how any of this land is used?                    | <input type="radio"/> Yes <input type="radio"/> No |
| <b>Q.9</b>  | Is the [OC YL CHILD] the main decision maker about how proceeds from any of this land are used? | <input type="radio"/> Yes <input type="radio"/> No |
| <b>Q.10</b> | Does [OC YL CHILD] have a land-use certificate for any of this land?                            | <input type="radio"/> Yes <input type="radio"/> No |

## CROP DIVERSIFICATION

**SAY:** Now I am going to ask you about income and expenditure from crops you have grown in the last 12 months.

|             |   |   |
|-------------|---|---|
| <b>Q.11</b> | In the last 12 months, has anyone in your household grown and harvested any crop? | <input type="radio"/> No ► Skip to section 2.2 (Productive Assets)<br><input type="radio"/> Yes |
|-------------|---|---|

**FIELDWORKER:** Please record the 4 most important crops for the household in terms of household welfare (money/food they produce), starting with the most important first.

|         | Q.12  | Q.13   | Q.14   | Q.15   |
|---------|---|--|--|--|
| CROP    | Important crops grown and harvested<br><b>FIELDWORKER:</b> please record larger crops first.<br><br>Enter code from <b>Codebox #9</b> | Total Output.<br>Enter in Kilograms<br><br>-77=NK<br>-88=NA (crop cannot be measured in kilograms - trees, flowers, etc) | Value of output sold in the last 12 months? Enter in '000 VND<br><br>- 77=NK | Operational costs incurred in producing this crop in the last 12 months? Enter in '000 VND.<br><b>SAY:</b> Operational costs include salaries of workers, expenditure in seeds, fertilizers, pesticides, fuel, irrigation, costs for maintenance of equipment, rent of agricultural land, etc. |
| Crop 01 | [ _ _ ]<br>Specify _____  | [ _ _ _ _ ]  | [ _ _ _ _ ]  | [ _ _ _ _ ]  |
| Crop 02 | [ _ _ ]<br>Specify _____  | [ _ _ _ _ ]  | [ _ _ _ _ ]  | [ _ _ _ _ ]  |
| Crop 03 | [ _ _ ]<br>Specify _____  | [ _ _ _ _ ]  | [ _ _ _ _ ]  | [ _ _ _ _ ]  |
| Crop 04 | [ _ _ ]<br>Specify _____  | [ _ _ _ _ ]  | [ _ _ _ _ ]  | [ _ _ _ _ ]  |

|             |   |             |
|-------------|---|-------------|
| <b>Q.16</b> | Net income from leased-out land (for growing agricultural crops) in the last 12 months<br>Enter in '000 VND | [ _ _ _ _ ] |
|-------------|---|-------------|

## 2. LIVELIHOODS AND ASSET FRAMEWORK

### 2.2. Livestock and Productive Assets

#### LIVESTOCK

|             |  |          |
|-------------|--|----------|
| <b>Q.1.</b> | Has anyone in the household owned any livestock in the last 12 months? (Do not include pets) | [ ____ ] |
|             | 00 = No ► Skip to Q.6<br>01 = Yes<br>77 = NK ► Skip to Q.6                                   |          |

FIELDWORKER: Please administer next table row-by-row

|                                   |                           | Q.2   | Q.3  | Q.4   | Q.5   |
|-----------------------------------|---------------------------|---|--|---|---|
| Type of Livestock / Loại vật nuôi |                           | Has anyone in the household owned any of the following animals at any time in the last 12 months? | How many of the following animals does the household currently own? Enter number<br><br>If 0 ► Skip to the next row<br>-77 = NK<br>-79 = Refused to answer | If you were to sell all your currently owned [NAME OF ANIMAL] today, here, how much would people pay for them?<br><br>-77 = NK<br>-79 = Refused to answer | If you were to sell or rent all [NAME OF ANIMAL], can [YL CHILD] dispose entirely or partially of the money obtained? |
| <b>Milk animals</b>               |                           |   |  |   |   |
| 1                                 | Cow (modern variety)      | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 2                                 | Cow (traditional variety) | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 3                                 | Calves                    | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 4                                 | Buffalo (modern variety)  | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| <b>Draught animals</b>            |                           |   |  |   |   |
| 7                                 | Bullock                   | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 8                                 | He- Buffalo               | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 9                                 | Donkey/horse/Mule         | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| <b>Small ruminants</b>            |                           |   |  |   |   |
| 13                                | Sheep                     | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 14                                | Goat                      | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 15                                | Pigs                      | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 16                                | Poultry/birds             | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 17                                | Rabbits                   | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next animal                       | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |
| 58                                | Others (Specify) _____    | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to Q.6                               | [ ____ ]   | [ ____ ]  | <input type="radio"/> No <input type="radio"/> Yes  |

#### PRIMARY OCCUPATION PRODUCTIVE ASSETS

|            |  |          |
|------------|--|----------|
| <b>Q.6</b> | Do you have any productive assets (owned, rented, or borrowed) that allow you or the household to work and/or generate income? | [ ____ ] |
|            | 00 = No ► Skip to the next section<br>01 = Yes<br>77 = NK ► Skip to the next section   |          |

FIELDWORKER: Please administer the next table row by row

| Q.7   |  | Q.8  | Q.9   | Q.10   | Q.11  |
|---|--|--|---|--|---|
| Can you tell me up to six of the most VALUABLE (in terms of money) assets (owned, rented, or borrowed) that allowed you (the household) to work, generate income?<br>FIELDWORKER: If less than 6 productive assets, enter 88 in the blank spaces and skip to next section<br>Enter code from <b>Codebox #10</b> |  | For what activity is the asset used?<br><br>Enter code from <b>Codebox #11</b> | How many of them do you actually own? Enter number owned<br><br>-77=NK ► Skip to next row<br>If 00 ► Skip to next row | If you sold it/them today, how much could you get? Enter in '000 VND<br><br>-77 = NK | If you were to sell or rent it/them today can [YL Child] dispose entirely or partially of the money obtained? |
| Assets – Owned, rented or borrowed  | Total number of assets -owned rented or borrowed |  |   |  |   |
| 1. [ ____ ]   | [ ____ ]   | [ ____ ]   | [ ____ ]  | [ ____ ]   | <input type="radio"/> No <input type="radio"/> Yes  |
| 2. [ ____ ]   | [ ____ ]   | [ ____ ]   | [ ____ ]  | [ ____ ]   | <input type="radio"/> No <input type="radio"/> Yes  |
| 3. [ ____ ]   | [ ____ ]   | [ ____ ]   | [ ____ ]  | [ ____ ]   | <input type="radio"/> No <input type="radio"/> Yes  |
| 4. [ ____ ]   | [ ____ ]   | [ ____ ]   | [ ____ ]  | [ ____ ]   | <input type="radio"/> No <input type="radio"/> Yes  |
| 5. [ ____ ]   | [ ____ ]   | [ ____ ]   | [ ____ ]  | [ ____ ]   | <input type="radio"/> No <input type="radio"/> Yes  |
| 6. [ ____ ]   | [ ____ ]   | [ ____ ]   | [ ____ ]  | [ ____ ]   | <input type="radio"/> No <input type="radio"/> Yes  |



## 2. LIVELIHOODS AND ASSET FRAMEWORK

|            |   |         |
|------------|---|---------|
| <b>Q.0</b> | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ ___ ] |
|------------|---|---------|

## 2.3 RELATIVE IMPORTANCE OF INCOME

### INCOME SOURCES

**SAY:** I am going to ask you about what people in this household do to get Income

|   |  |                         |
|---|--|-------------------------|
| <b>Q.1</b>  | In the past 12 months From which of the following activities does the household perceived its MONETARY Income? | 00 = No<br>01 = Yes     |
| <b>INDEPENDENT ACTIVITY (Self-Employment /Business)</b>     |  |                         |
| 01  | Independent Farmer.(From land owner, rented or borrowed)   | [ ___ ]                 |
| 02  | Cattle/Stockbreeding (including small animals breeding)  | [ ___ ]                 |
| 03  | Fishing  | [ ___ ]                 |
| 04  | Forestry   | [ ___ ]                 |
| 05  | Other Primary Activity (Specify)   | [ ___ ]; Specify: _____ |
| 06  | Transport (Taxi driver, owner vehicle)   | [ ___ ]                 |
| 07  | Trade  | [ ___ ]                 |
| 08  | Industry (Small and Medium Business, Tailoring, Handicrafts)   | [ ___ ]                 |
| 09  | Other Service (Specify)  | [ ___ ]; Specify: _____ |
| 10  | Other (Specify)  | [ ___ ]; Specify _____  |
| <b>WAGE EARNING ACTIVITY</b>                                |  |                         |
| 11  | Work for Wages in Agriculture, Fishing, Forest, Cattle/Stockbreeding   | [ ___ ]                 |
| 12  | Non-Agriculture Work for wages (Industry, Transport, Trade and Other Services)                                 | [ ___ ]                 |
| <b>UN-EARNED INCOME (E.G. TRANSFERS, REMITTANCES, ETC).</b> |  |                         |
| 13  | Other un-earned (non-labour income inc. pensions, transfers, etc.)   | [ ___ ]                 |

## RELATIVE IMPORTANCE OF INCOME

**FIELDWORKER:** Copy all the the activities that generate MONETARY incomes to the household from Q.1. After writing down the activities in Q.2; give to the interviewee 20 pebbles and as many labelled circles as activities were mentioned in Q.1, ask him/her to allocate the pebbles considering the importance that each of the the activities has for the household in terms of MONETARY income in the past 12 months.

|    | Q.2                              | Q.3   |
|----|----------------------------------|---|
|    | Type of Activity. Enter ID of Q1 | Importance<br><b>FIELDWORKER:</b> Enter number of pebbles placed in each circle |
| 1  | [ _ _ ]                          | [ _ _ ]   |
| 2  | [ _ _ ]                          | [ _ _ ]   |
| 3  | [ _ _ ]                          | [ _ _ ]   |
| 4  | [ _ _ ]                          | [ _ _ ]   |
| 5  | [ _ _ ]                          | [ _ _ ]   |
| 6  | [ _ _ ]                          | [ _ _ ]   |
| 7  | [ _ _ ]                          | [ _ _ ]   |
| 8  | [ _ _ ]                          | [ _ _ ]   |
| 9  | [ _ _ ]                          | [ _ _ ]   |
| 10 | [ _ _ ]                          | [ _ _ ]   |
| 11 | [ _ _ ]                          | [ _ _ ]   |
| 12 | [ _ _ ]                          | [ _ _ ]   |
| 13 | [ _ _ ]                          | [ _ _ ]   |

### 3. FOOD AND NON-FOOD CONSUMPTION

|            |   |         |
|------------|---|---------|
| <b>Q.0</b> | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ ___ ] |
|------------|---|---------|

#### 3.1 Food consumption and expenditure

##### FOOD CONSUMPTION

**SAY:** I am now going to ask some questions about how much food has been consumed by your household in recent times. I am going to start by asking how much you or your household consumed of goods bought in the **last 15 days**, then ask how much your household consumed from your **own harvest and stocks**, and then how much you consumed from **other sources** (gifts, transfers, etc.).

**FIELDWORKER:** Ask if there was a major festival, wedding or other feasting/ fasting ceremony in the last 15 days. If there was, specify that the respondent should think about the household's consumption in the 15 days prior to this event.

**SAY:** Please think about the food products bought and consumed by you and your household in the last 15 days.

##### BOUGHT, OWN HARVEST, STOCK AND GIFTS FOOD CONSUMPTION

|    | Commodities                              | Q.1  | Food items bought and consumed   | Food items from own harvest or stock  | Food items from gifts, transfers, or food aid  |  |
|----|--|--|--|---|--|--|
|    |  | Q.2  | Q.3  | Q.4   | Q.5  |  |
|    |  | Household has consumed this item in the <b>past 15 days</b> ?<br><b>FIELDWORKER.</b> Please complete column before moving to Q.2 | What is the total value of this item bought and consumed in the past 15 days?<br>Estimated value in '000 VND<br><br>00=Nothing<br>-77=NK | How much of this commodity did you consume from your own harvest and own stock in the past 15 days?<br><b>FIELDWORKER:</b> Please consider that STOCK means that the household obtained this item before the last 15 days (could have been bought, from own harvest, or a gift) and consumed it during the last 15 days.<br>Estimated value in '000 VND<br>0=Nothing ► Skip to next food item<br>-77=NK | How much of this commodity did you consume from gifts, transfers or food aid received from relatives, friends, neighbours, government or other organisations in the past 15 days?<br>Estimated value in '000 VND<br>0=Nothing ► Skip to next food item<br>-77=NK | Main Source:<br>01=Gift from relatives, friends, neighbours<br>02=Food aid, transfer or direct support<br>03= Food for work<br>04=Other specify ___<br>77=NK |
| 02 | Pasta/Rice                               | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next food item   | [ _____ ]  | [ _____ ]   | [ _____ ]  | [ ___ ];<br>Khác (ghi rõ) _____  |
| 03 | Bread/Wheat flour (processed)            | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next food item   | [ _____ ]  | [ _____ ]   | [ _____ ]  | [ ___ ];<br>Khác (ghi rõ) _____  |
| 06 | Meat Products                            | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next food item   | [ _____ ]  | [ _____ ]   | [ _____ ]  | [ ___ ];<br>Khác (ghi rõ) _____  |
| 09 | Fresh fish                               | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next food item   | [ _____ ]  | [ _____ ]   | [ _____ ]  | [ ___ ];<br>Khác (ghi rõ) _____  |
| 17 | Prepared food (restaurants, food stalls) | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next food item   | [ _____ ]  | [ _____ ]   | [ _____ ]  | [ ___ ];<br>Khác (ghi rõ) _____  |
| 20 | Soft drinks                              | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next sub-section   | [ _____ ]  | [ _____ ]   | [ _____ ]  | [ ___ ];<br>Khác (ghi rõ) _____  |
| 21 | Alcohol                                  | <input type="radio"/> Yes<br><input type="radio"/> No ► Skip to next food item   | [ _____ ]  | [ _____ ]   | [ _____ ]  | [ ___ ];<br>Khác (ghi rõ) _____  |

|            |   |         |
|------------|---|---------|
| <b>Q.6</b> | Which household members do NOT consume at least one meal per day in the house?<br>TICK ALL THE HOUSEHOLD MEMBERS THAT APPLY | [ ___ ] |
|------------|---|---------|

### 3. FOOD AND NON-FOOD CONSUMPTION

#### 3.2. Non-food expenditure

**SAY:** I am now going to ask you how much you have spent on Non-food and other items in the last 12 months. Please give prices at the time of purchase.

|            |   |   |
|------------|---|---|
| <b>Q.1</b> | How much did the household spend on each of them in the last 12 months?   | Enter value in '000 VND<br>00=Nothing<br>-77=NK |
| 01         | Rent (house for Residence)  | [ _____ ]                                       |
| 02         | Minor repairs & home maintenance  | [ _____ ]                                       |
| 05         | Rent (business, market stall)   | [ _____ ]                                       |
| 08         | Electricity rates   | [ _____ ]                                       |
| 15         | One-off family events (e.g. wedding/funeral, etc.) - Amount spent   | [ _____ ]                                       |
| 16         | One-off family events (e.g. wedding/funeral, etc.) - Amount received  | [ _____ ]                                       |
| <b>Q.2</b> | What is the money value of the total amount purchased by the household in the last 12 months for the following items:                 | Enter value in '000 VND<br>00=Nothing<br>-77=NK |
|            | <b>Clothing</b>   |   |
| 01         | Clothing (adult men -18 years old or older)   | [ _____ ]                                       |
| 02         | Clothing (adult women -18 years old or older)   | [ _____ ]                                       |
| 03         | Clothing (girls – excluding school uniform - less than 18 years)  | [ _____ ]                                       |
| 04         | Clothing (boys – excluding school uniform - less than 18 years)   | [ _____ ]                                       |
| 05         | Footwear (e.g. shoes, slippers) (adult men - 18 years old or older)   | [ _____ ]                                       |
| 06         | Footwear (e.g. shoes, slippers) (adult women - 18 years old or older)   | [ _____ ]                                       |
| 07         | Footwear (e.g. shoes, slippers) (girls - 17 and younger)  | [ _____ ]                                       |
| 08         | Footwear (e.g. shoes, slippers) (boys - 17 and younger)   | [ _____ ]                                       |
|            | <b>Medical</b>  |   |
| 17         | Payments for medical consultation and treatment   | [ _____ ]                                       |
| 18         | Total payment of (prescribed and non-prescribed) drugs  | [ _____ ]                                       |
| 19a        | Voluntary and students' insurance or pooled money with other organizations for health insurance                                       | [ _____ ]                                       |
| 19b        | Receipt of aid for members who were sick, contracted diseases or suffered traumas in the last 12 months (including in-kind transfers) | [ _____ ]                                       |
| 20         | Any other medical expenditure   | [ _____ ]                                       |

## 4. SOCIAL CAPITAL

|            |   |         |
|------------|---|---------|
| <b>Q.0</b> | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ _ _ ] |
|------------|---|---------|

### 4.1 SUPPORT NETWORKS

|            |  |         |
|------------|--|---------|
| <b>Q.1</b> | <p>Suppose you or your household is in need of material support. How many people can you rely on in time of need?</p> <p>01=1-2 people<br/>           02=3-5 people<br/>           03=6-10<br/>           04=11-15<br/>           05=16-20<br/>           06=21-30<br/>           07=over 30<br/>           Write 00 if none; 77=NK)</p> | [ _ _ ] |
| <b>Q.2</b> | <p>How many relatives live in this community (excluding those in your own household)?</p> <p>00=None ► Skip to Q.4.<br/>           01=1-5<br/>           02=6-10<br/>           03=11-20<br/>           04=21-30<br/>           05=over 30<br/>           77=NK</p>  | [ _ _ ] |
| <b>Q.3</b> | <p>How many of these relatives are influential in the community?</p> <p>00=None<br/>           01=1-5<br/>           02=6-10<br/>           03=11-20<br/>           04=21-30<br/>           05=over 30<br/>           77=NK</p>  | [ _ _ ] |
| <b>Q.4</b> | <p>Would your household be able to raise 500,000 VND in one week if you needed it?</p> <p>01=Yes, very easily<br/>           02=Probably<br/>           03=Not at all<br/>           77=NK, 88=NA</p>  | [ _ _ ] |

## 5. ECONOMIC CHANGES AND RECENT LIFE HISTORY

|            |   |         |
|------------|---|---------|
| <b>Q.0</b> | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ _ _ ] |
|------------|---|---------|

### 5.1 ECONOMIC CHANGES

**SAY:** I am now going to ask you to think about your current circumstances and how and why they might have changed over recent periods.

|            |   |   |
|------------|---|---|
| <b>Q.1</b> | How would you describe the household you were living in?<br><b>FIELDWORKER:</b> Read the alternatives | 01=Very rich<br>02=Rich<br>03= Comfortable – manage to get by<br>04=Struggle - never have quite enough<br>05=Poor<br>06=Destitute |
|            | 01. Currently   | [ _ _ ]   |
|            | 02. FOUR years ago (around time of previous visit, end of 2009)                                       | [ _ _ ]   |

**FIELDWORKER:** If situation has NOT changed (Q.1-01 = Q.1-02) ► Skip to Q.3

|            |   |                                 |
|------------|---|---------------------------------|
| <b>Q.2</b> | Please give the two main reasons why your situation has changed compared to the earlier period.<br>Enter code from <b>Codebox #12</b> |                                 |
|            | 01. Reason 1  | [ _ _ ]<br>Other, specify _____ |
|            | 02. Reason 1  | [ _ _ ]<br>Other, specify _____ |

|            |   |         |
|------------|---|---------|
| <b>Q.3</b> | Compared to other households in this village how would you describe your household at the moment?<br><br>01=The richest<br>02=Among the richest<br>03=Richer than most households<br>04=About average<br>05=A little poorer than most households<br>06=Among the poorest<br>07=The poorest<br>77=NK | [ _ _ ] |
|------------|---|---------|

## 5. ECONOMIC CHANGES AND RECENT LIFE HISTORY

### 5.2 SHOCKS

**SAY:** Now I am going to ask you about the most important events and changes that have happened **(that negatively affected the household economy)** the last time we came to see you in 2009.

**FIELDWORKER:** Ask questions in shaded boxes and record responses that match EVENTS in that section and record responses for Q.1 through to Q.2. Probe if necessary. When respondent has answered all questions, go to the next screen.

**FIELDWORKER.** Please fill Q.1. for all events and then collect information for each event row by row

| EVENT | Q.1  | Q.2   |                          |                          |                          |                          |
|-------|--|---|--------------------------|--------------------------|--------------------------|--------------------------|
|       | Has [SHOCK NAME] happened since 2010?<br><br>If NO ► Skip to next event (row)  | Indicate if each event happened in any of these years:<br><br>FIELDWORKER: please tick the year in which the event occurred |                          |                          |                          |                          |
|       |  | 2013  | 2012                     | 2011                     | 2010                     |                          |
| A.    | SAY: Has the household been the victim of any crimes since we last came to see you in 2010? (e.g. theft, vandalism) If yes, what were these? Prompt for the listed events. If no, tick in radio button NO, and skip to B.  |   |                          |                          |                          |                          |
| 1     | Theft or destruction? (e.g. cash, crops, livestock, destruction of housing)  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| B.    | SAY: Have any regulations or actions had a negative impact on the household since we last came to see you in 2010? (e.g. land redistribution, forced eviction or movement, restrictions on movement or forced contributions) If yes, What were these? Prompt for the listed events. If no, Tick in radio button NO and skip to C   |   |                          |                          |                          |                          |
| 10    | Forced contributions or arbitrary taxation or protection money   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| C.    | SAY: Have any changes to economic conditions affected the household since we last came to see you in 2010? (e.g. changes to prices, employment, asset disputes). If yes, What were these? Prompt for the listed events. If no, tick radio button NO for all events and skip to D.  |   |                          |                          |                          |                          |
| 12    | Large increase in input prices   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 13    | Large decrease in output prices  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 47    | Increase in the price of food that I buy   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 14    | Livestock died   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 16    | Job loss/source of income/family enterprise  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 23    | Disputes with neighbours/PA members regarding land or assets   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| D.    | SAY: Have you experienced any natural disasters since we last came to see you in 2010? For example, weather extremes, changes in land conditions, pests or diseases that may have affected your crops and livestock? If yes, What were these? Prompt for the listed events. If no, tick radio button NO for all events and skip to E   |   |                          |                          |                          |                          |
| 24    | Drought  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 25    | Too much rain or flood   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 26    | Erosion, Cracks or landslide   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 27    | Frosts or hailstorm  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 28    | Pests or diseases that affected crops before they were harvested   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 29    | Crops failed   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 30    | Pests or diseases that led to storage losses   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 31    | Pests or diseases that affected livestock  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 48    | Storm  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| E.    | SAY: Has anything happened since we last came to see you in 2010 that has affected the building you live in? (e.g. fire, collapse) If yes, What were these? Prompt for the listed events. If no, tick radio button NO and skip to F  |   |                          |                          |                          |                          |
| 32    | Fire or collapse of building   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| F.    | SAY: Have there been any changes within the family since we last came to see you in 2010 (including births, deaths, illnesses, injuries)? Or other things that have affected members of the family? (starting school, imprisonment, conscription, divorce)? If yes, What were these? Prompt for the following events. If no, tick radio button NO for all events and skip to G |   |                          |                          |                          |                          |
| 34    | Death of [YL Child]'s father   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 35    | Death of [YL Child]'s mother   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 36    | Death of another person from the household   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 37    | Illness of [YL Child]'s father   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 38    | Illness of [YL Child]'s mother   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 39    | Illness of other household member  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 61    | Illness of non-household member  | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 40    | Divorce, separation or abandonment   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 41    | Birth/new household member   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 42    | Child's school enrolment – having to pay school fees   | <input type="radio"/> Có <input type="radio"/> Không  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| G.    | SAY: Has anything else happened that has affected the economic situation of your household since we last came to see you in 2010? If yes, specify.   |   |                          |                          |                          |                          |
| 45    | Other, Specify: _____  | [ ___ ]   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| Q.3  |  | Q.4   |
|--|--|---|
| Indicate the 3 most important negative events that affected the economic situation of the household.<br>Enter event codes by importance<br>88 = NA | Years in which event happened.<br>[PRE-FILLED FROM Q.2.] | How much does this economic loss represent of the income generated by the household in a regular year?<br><br>01=less than a quarter<br>02=between a quarter and a half |
| Most important event<br>[ __ _ ]   | [2010]   | [ __ _ ]  |
|  | [2011]   | [ __ _ ]  |
|  | [2012]   | [ __ _ ]  |
|  | [2013]   | [ __ _ ]  |
| Second most important event<br>[ __ _ ]  | [2010]   | [ __ _ ]  |
|  | [2011]   | [ __ _ ]  |
|  | [2012]   | [ __ _ ]  |
|  | [2013]   | [ __ _ ]  |
| Third most important event<br>[ __ _ ]   | [2010]   | [ __ _ ]  |
|  | [2011]   | [ __ _ ]  |
|  | [2012]   | [ __ _ ]  |
|  | [2013]   | [ __ _ ]  |



## 6. SOCIO-ECONOMIC STATUS

|     |   |         |
|-----|---|---------|
| Q.0 | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ ___ ] |
|-----|---|---------|

**SAY:** Now I am going to ask some questions about the place where you live.

|     |  |         |
|-----|--|---------|
| Q.1 | Does you or anyone in your household own your house?<br>00=No<br>01=Yes<br>77=NK               | [ ___ ] |
| Q.2 | Does you or anyone in your household has a mortgage on your house?<br>00=No<br>01=Yes<br>77=NK | [ ___ ] |
| Q.3 | How many rooms are there in the house?<br>-77=NK   | [ ___ ] |
| Q.4 | Do you have a separate kitchen/cooking area?<br>00=No<br>01=Yes<br>77=NK                       | [ ___ ] |
| Q.5 | Do you have electricity?<br>00=No<br>01=Yes<br>77=NK   | [ ___ ] |

**FIELDWORKER:** Please observe building material:

|     |                                       |                                 |
|-----|---------------------------------------|---------------------------------|
| Q.6 | WALL<br>Enter codes from Codebox #13  | [ ___ ]<br>Other, specify _____ |
| Q.7 | ROOF<br>Enter codes from Codebox #14  | [ ___ ]<br>Other, specify _____ |
| Q.8 | FLOOR<br>Enter codes from Codebox #15 | [ ___ ]<br>Other, specify _____ |

**SAY:** Now I would like to ask you about access to services

|      |   |                                 |
|------|---|---------------------------------|
| Q.9  | What is the main source of drinking water for members of your household?<br>Enter codes from Codebox #16  | [ ___ ]<br>Other, specify _____ |
| Q.10 | What kind of main toilet facility does your household use?<br>Enter codes from Codebox #17  | [ ___ ]<br>Other, specify _____ |
| Q.11 | What is the main type of fuel you or anyone in your household use for cooking?<br>Enter codes from Codebox #18  | [ ___ ]<br>Other, specify _____ |
| Q.12 | Is heating used in this house?<br>01=Yes<br>00=No ▶ Skip to Q.14.<br>77=NK ▶ Skip to Q.14.  | [ ___ ]                         |
| Q.13 | What is the main type of fuel you or anyone in your household usually use for heating?<br>Enter codes from Codebox #18  | [ ___ ]<br>Other, specify _____ |
| Q.14 | Have you or anyone in your household invested in the improvement of your dwelling since 2009?<br>01=Yes<br>00=No ▶ Skip to Q.16<br>77=NK ▶ Skip to Q.16                       | [ ___ ]                         |
| Q.15 | If yes, what have you invested in?<br>Enter up to 3 codes from Codebox #19<br><br>FIELDWORKER: If there are less than 3 improvements, please fill the empty spaces with 88=NA | [ ___ ]<br>Other, specify _____ |
|      |   | [ ___ ]<br>Other, specify _____ |
|      |   | [ ___ ]<br>Other, specify _____ |
|      |   | [ ___ ]<br>Other, specify _____ |

**SAY:** I am now going to ask you whether the household owns specific items.

**FIELDWORKER:** Ask about each item. Then ask which five are most valuable and for these five, ask for the value.

|    |                                | Q.16  | Q.17   |
|----|--------------------------------|---|--|
|    | Item                           | Does anyone in the household own this item?<br>00=No ▶ Skip to next row<br>01=Yes<br>77=NK ▶ Skip to next row | How many does the household own?<br>Enter number or -77=NK |
| 1  | Working television             | [ _ _ ]   | [ _ _ ]  |
| 2  | Working radio                  | [ _ _ ]   | [ _ _ ]  |
| 3  | Working car/ truck/ automobile | [ _ _ ]   | [ _ _ ]  |
| 4  | Working motorbike/scooter      | [ _ _ ]   | [ _ _ ]  |
| 5  | Working bicycle                | [ _ _ ]   | [ _ _ ]  |
| 6  | Working landline telephone     | [ _ _ ]   | [ _ _ ]  |
| 7  | Working mobile/cell telephone  | [ _ _ ]   | [ _ _ ]  |
| 8  | Table & chair                  | [ _ _ ]   | [ _ _ ]  |
| 9  | Sofa                           | [ _ _ ]   | [ _ _ ]  |
| 10 | Working Fan                    | [ _ _ ]   | [ _ _ ]  |
| 11 | Bedstead                       | [ _ _ ]   | [ _ _ ]  |
| 12 | Working refrigerator/fridge    | [ _ _ ]   | [ _ _ ]  |
| 13 | Gas/electric stove             | [ _ _ ]   | [ _ _ ]  |
| 14 | Computer/laptop                | [ _ _ ]   | [ _ _ ]  |
| 15 | Videogames                     | [ _ _ ]   | [ _ _ ]  |
| 16 | Other specify _____            | [ _ _ ]   | [ _ _ ]  |

|    |         | Q.18  | Q.19  |
|----|---------|---|---|
|    |         | What are the five most valuable (importance) items owned by the household?<br>Insert ID of items (01-16) as given in Q.16 | If you were to sell these items, how much do you think they would fetch?<br>Enter in '000 VND |
| 01 | Item #1 | [ _ _ ]   | [ _ _ _ _ _ ]   |
| 02 | Item #1 | [ _ _ ]   | [ _ _ _ _ _ ]   |
| 03 | Item #1 | [ _ _ ]   | [ _ _ _ _ _ ]   |
| 04 | Item #1 | [ _ _ ]   | [ _ _ _ _ _ ]   |
| 05 | Item #1 | [ _ _ ]   | [ _ _ _ _ _ ]   |

## 7. ACCESS TO PUBLIC PROGRAMMES

|            |   |         |
|------------|---|---------|
| <b>Q.0</b> | ID of respondent for this section (identify the respondent for this section using the ID from the household roster) | [ _ _ ] |
|------------|---|---------|

### 7.1 MOLISA

|           |   |   |
|-----------|---|---|
| <b>Q1</b> | Is your household included on the list of poor households created by Commune Committee on the MOLISA criteria for Hunger Eradication and Poverty Reduction Program? | Tick years in which you were included in the list       |
|           |   | 2013 <input type="radio"/> Yes <input type="radio"/> No |
|           |   | 2012 <input type="radio"/> Yes <input type="radio"/> No |
|           |   | 2011 <input type="radio"/> Yes <input type="radio"/> No |
|           |   | 2010 <input type="radio"/> Yes <input type="radio"/> No |

## CODEBOXES

### Codebox #1: Relationship to YL Child

|   |   |  |
|---|---|--|
| 00= YL child                                  | 11=Adoptive brother/sister                      | 22= Other non-related  |
| 01=Biological parent                          | 12=Foster brother/sister                        | 23=Nanny (live in)   |
| 02=Step-parent (partner of biological parent) | 13=Uncle/Aunt                                   | 24=Wife/Husband of YL Child                                    |
| 03=Adoptive parent                            | 14=Cousin (inc. cousin brother & cousin sister) | 25= Boyfriend/Girlfriend of YL Child                           |
| 04=Foster parent                              | 15=Nephew/Niece                                 | 26=Fiance  |
| 05=Maternal grandparent                       | 16=Brother/sister-in-law (spouse of sibling)    | 27= Child of YL Child  |
| 06=Paternal grandparent                       | 17=Great-grandparent (mother's side)            | 28=Father-in-law/Mother-in-law                                 |
| 07=Brother/sister (both parents the same)     | 18=Great grandparent (father's side)            | 29=Brother-in-law/Sister-in-law (sibling of YL Child's spouse) |
| 08=Half-sibling (same father)                 | 19=Other relative                               | 77=NK  |
| 09=Half-sibling (same mother)                 | 20=Servant (farm-worker, maid, etc.)            | 88=NA  |
| 10=Step-sibling (no parent in common)         | 21= Tenant/lodger                               |  |

### Codebox #2: Relationship to household head

|                                 |
|---------------------------------|
| 01=Household head               |
| 02=Spouse/Partner               |
| 03=Daughter/son                 |
| 04=Son-in-law/Daughter-in-law   |
| 05=Grandchild                   |
| 06=Father/mother                |
| 07=Other relatives              |
| 08=Domestic worker              |
| 09=Tenant / lodger              |
| 10=Other non-relatives          |
| 11=Father-in-law, mother-in-law |

### Codebox #3: Marital status

|              |
|--------------|
| 1=Cohabitant |
| 2=Separated  |
| 3=Married    |
| 4=Widow(er)  |
| 5=Divorced   |
| 6=Single     |

### Codebox #4: Highest grade

|             |                                      |
|-------------|--------------------------------------|
| 00=None     | 11=Grade 11                          |
| 01=Grade 1  | 12=Grade 12                          |
| 02=Grade 2  | 13=Post-secondary, vocational        |
| 03=Grade 3  | 14=University                        |
| 04=Grade 4  | 15=Post-graduate (e.g. Masters, PhD) |
| 05=Grade 5  | 28=Adult literacy                    |
| 06=Grade 6  | 29=Religious education               |
| 07=Grade 7  | 30=Other (specify)_____              |
| 08=Grade 8  | 77=NK                                |
| 09=Grade 9  | 79=Refused to answer                 |
| 10=Grade 10 |                                      |

**Codebox #5: Type of school (ownership)**

|  |
|--|
| 01=Private                                 |
| 02=NGO/Charity/ Religious (not for profit) |
| 03=Public                                  |
| 06=Informal                                |
| 07=Half public/Half Private                |
| 08=Centre for continuing education         |
| 05=Others, specify                         |
| 77=NK                                      |
| 88=NA                                      |

**Codebox #6: Reasons for not attending school**

|  |  |  |
|--|--|--|
| 01=Fees too expensive  | 12= Quality of education at school (teaching and learning) poor                              | 23=Marriage  |
| 02=Books and/or other supplies too expensive                                     | 13= Quality of care (food, non-educational care) poor  | 24= Disability, Illness  |
| 03= Shoes/Clothes/Uniform for school too expensive                               | 14= No sanitation facilities at school   | 25= Family member ill/disabled/elderly (including care for this family member)                                 |
| 04= Transport too expensive  | 15= Bullying/abuse from peers  | 26= Family issues e.g. problems at home – parent disputes/marital conflict                                     |
| 05= School too far from home   | 16= Ill-treatment/abuse from teachers/principal  | 27=Stigma and Discrimination (child was not be welcome because of ethnic group/caste/socioeconomic group etc). |
| 06=Not safe to travel to school  | 17= No need for schooling for future job.  | 28= School not accessible for seasonal reasons: river prohibits access   |
| 07= Lack of transport  | 18= Need to learn a trade/skill, e.g. through apprenticeship so went to work                 | 31=Other   |
| 08=Truancy, child does not want to go, not interested                            | 19=Need to stay home to look after siblings  | 32= terminatd the course of education in which I was enrolled  |
| 09=Banned from school for behaviour reasons                                      | 20=Needed for domestic and/or agricultural work at home (include chores, farm work, harvest) | 33= wanted to start working  |
| 10=Banned from school because away for too long                                  | 21=Have to do paid work to earn money(including agricultural work other than for household)  |  |
| 11= Banned from school because failed to achieve necessary grade/level at school | 22= It's not appropriate for girls to go to/continue at school                               |  |

**Codebox #7: Main uses of agricultural land**

|                                  |
|----------------------------------|
| 01=Agriculture/ Farming (arable) |
| 02=Pasture,                      |
| 03=Sharecropped out              |
| 04=Nothing/left fallow           |
| 05=Grazing livestock             |
| 06=Aquaculture                   |
| 07=Forestry                      |
| 08=Other (specify)               |
| 77=NK                            |

**Codebox #8: Main uses of non-agricultural land**

|  |
|--|
| 01=Living accomodation/resident building   |
| 02=Living accomodation plus garden with produce for consumption and sale               |
| 03= Living accomodation plus industry (e.g. industry)                                  |
| 04=Living accomodation plus garden with produce for consumption and sale plus industry |
| 05=Gardening only  |
| 06= Industry only  |
| 07=Rented out (fixed rent)   |
| 08=Nothing/ left fallow  |
| 09=Recreation  |
| 10=Other, specify  |
| 77=NK  |
| 79=Refused to answer   |
| 88=NA  |

**Codebox #9: Crops**

|                   |                         |  |
|-------------------|-------------------------|--|
| 3 = Pears         | 46 = Mulberry           | 93 = Papayas                             |
| 4 = Bananas       | 50 = Onions             | 109 = Coconut                            |
| 9 = Black pepper  | 51 = Orange             | 110 = Plums                              |
| 10 = Cabbage      | 52 = Other cereals      | 111 = Longans/ litchis/ rambutans        |
| 12 = Carrot       | 53 = Paddy rice         | 112 = Kohlrabi                           |
| 14 = Soya beans   | 54 = Pineapple          | 114 = Cashew                             |
| 15 = Green beans  | 55 = Potatoes           | 115 = Jute/rush                          |
| 16 = Chillies     | 56 = Pumpkin            | 116 = Mustard seed                       |
| 17 = Coffee       | 60 = Sesame             | 117 = Breeding tree                      |
| 18 = Cotton       | 65 = Flowers            | 118 = Bonsai                             |
| 21 = Eucalyptus   | 68 = Other spiced herbs | 119 = Rubber                             |
| 25 = Other fruits | 69 = Sweet potatoes     | 120 = Mangosteens                        |
| 26 = Garlic       | 71 = Tobacco            | 122 = Coffee                             |
| 28 = Ginger       | 72 = Tomato             | 126 = Water spinach/ Water morning glory |
| 31 = Grass        | 74 = Other vegetables   | 137 = Other forestry (Hoi, que)          |
| 32 = Groundnuts   | 80 = Sugarcane          | 96 = Other, specify                      |
| 33 = Guava        | 85 = Cassava/Manioc     | 77 = NK                                  |
| 44 = Maize        | 91 = Grapes             | 88 = NA                                  |
| 45 = Mango        | 92 = Apples             |  |

**Codebox #10: Productive assets**

| AGRICULTURAL ASSETS                                   | NON-AGRICULTURAL ASSETS   |   |
|---|---|---|
|   |   | 20=Protective clothing (overalls, steel-toed boots, hardhat, etc.)      |
| 01=Agricultural tools (e.g. sickle, crowbar, shovels) | 09=Barber tools (scissors, mirror, etc.)  | 21=Sewing machine   |
| 02=Cart/ wheelbarrow                                  | 10=Beauty salon equipment (hair dryer, etc.)  | 22=Teaching supplies (books, blackboard, etc.)                          |
| 03=Pesticide sprayer                                  | 11=Blacksmith tools   | 23=Trading equipment (display table, weighing machine, money box, etc.) |
| 05=Pump (motor or engine)                             | 12=Cleaning/domestic work equipment (mop, iron, etc.)                                       | 24=Trading license (e.g. for market stall)                              |
| 04=Plough   | 13=Construction tools (electrical tools, carpentry tool box, etc.)                          | 25=Transport (minibus, car, motorbike, bicycle, etc.)                   |
| 06=Thresher   | 14=Entertainment equipment (musical instruments, speakers, lighting, karaoke machine, etc.) | 26=Weaving equipment (loom, etc.)                                       |
| 07=Tractor  | 15=Food preparation equipment (portable stove, serving bowls, etc.)                         | 30=Fishing boat   |
| 08=Other farm equipment                               | 16=Gun  | 40=Other, specify   |
|   | 17=Mechanic equipment (jack, tyres, jumper cables, etc)                                     | 77=NK   |
|   | 18=Plumbing equipment   | 79=Refused to answer  |
|   | 19=Pottery equipment (kiln, potters wheel, etc.)  | 88=NA   |

**Codebox # 11: Occupation productive assets**

|   |                                  |   |
|---|----------------------------------|---|
| 02=Barber shop                                  | 13=Forestry/Logging              | 24=Transportation/ Driver/ Courier/ Taxi              |
| 03=Beauty salon                                 | 14=Handicrafts or art            | 25=Weaving  |
| 04=Blacksmith                                   | 15=Hunting                       | 26=Other specify_____                                 |
| 05=Casual/ intermittent labour (e.g. road crew) | 16=Mechanic services             | 27= collecting fire wood/dung/fetching water for sell |
| 06=Child care                                   | 17=Nursing/ Medicinal services   | 28= civil servant                                     |
| 07=Construction                                 | 18=Plumbing service              | 29= cash/food for work                                |
| 08=Domestic work/ Cleaning/ Janitorial          | 19=Pottery                       | 30=fishing  |
| 09=Entertainment services (DJ, singing, etc.)   | 20=Security guard                | 31=house renting                                      |
| 10=Factory Work                                 | 21=Tailor/Sewing                 | 77=NK   |
| 11=Farming/Agriculture                          | 22=Teaching                      | 79=Refused to answer                                  |
| 12=Food/local drink preparation/Restaurant      | 23=Trading (selling commodities) | 88=NA   |

**Codebox # 12: Why situation changed**

| <b>Why Situation Improved</b>   | <b>Why Situation Worsened</b>                           |
|---|---|
| 01=Harvests have been good  | 41=Harvests have been poor                              |
| 02=Started growing new crops  | 42=Tried new crops but they failed                      |
| 03=Tried new farming techniques   | 43=Tried new ways of farming but they failed            |
| 04=Managed my crops or livestock well   | 44=Managed crops or livestock poorly                    |
| 05=Accumulated livestock  | 45=Has less livestock                                   |
| 06=Accumulated other resources, assets, possessions   | 46=Managed my business poorly                           |
| 07=My own health has improved   | 47=Own business failed or didn't do well                |
| 08=Spouse's health has improved   | 48=Tried new business/trade but it failed               |
| 09=Spouse works hard  | 49=Lost job (permanent/temporary)                       |
| 10=Have new spouse  | 50=Have fewer other resources, assets, possessions      |
| 11=Have more children   | 51=I was lazy   |
| 12=Children older and more able to work   | 52=Spouse was lazy                                      |
| 13=Women in household drink less  | 53=I have been/got ill/injured/disabled                 |
| 14=Men in household drink less  | 54=Spouse has been/got ill/injured/disabled             |
| 15=More people around to help, advise (Better Networks)                                     | 55=Spouse died  |
| 16=Job security (continuous security)   | 56=Children sick or died                                |
| 17=Live in a nicer house  | 57=Children moved away                                  |
| 18=Managed my business well   | 58=Large family/too many children/increased family size |
| 19=Worked hard  | 59=Men in household drink too much                      |
| 20=Own business or trade has been profitable  | 60=Women in household drink too much (alcohol)          |
| 21=Set up a new business or trade   | 61=Less people around to help, advise (Poorer Networks) |
| 22=Started work or new job/non-farm work  | 62=Theft/robbery  |
| 23=Food or Cash aid   | 63=Too many loans                                       |
| 24=Involment in safety net programs food for work/cash for work income generation programme | 64=Did not want to take risks                           |
| 26=Migration  | 65=Prices for goods have fallen                         |
| 27=Was willing to take risks  | 66=Increase in price of inputs                          |
| 28=Prices have risen  | 67=Increase in food prices                              |
| 29=Good Luck  | 68=House is not as nice as it used to be                |
| 30=God has helped   | 69=Old age  |
| 32=S/he drinks less (alcohol)   | 70=Bad luck   |
| 33=Government -run employment Programs  | 71=God has not helped                                   |
| 34=Spouse started work or a new job   | 72=Other  |
| 35=Received a raise for current job   | 77=NK, 88=NA  |
| 36=Both husband and wife work   |   |
| 37=Involment in farmers' union  |   |
| 31=Other  |   |
| 77=NK,  |   |
| 88=NA   |   |



**Codebox # 13: Wall material**

|                                 |                        |
|---------------------------------|------------------------|
| 01= Adobe/mud                   | 12=Plastic sheet       |
| 02=Bamboo/Cane                  | 14=Stone               |
| 03=Brick/concrete               | 15=Wood/branches       |
| 05=Fibreboard/Chipboard         | 16=Other Specify _____ |
| 06= Galvanised /Corrugated iron | 17=Mud and Wood        |
| 07= Matting                     | 21=Cane and mud        |
| 08=Mud & bricks                 | 22=Wood and mud        |
| 09=Mud & stones                 | 23=Wood/Tripley        |
| 10=Neohouzealla wattle          | 25=Concrete blocks     |
| 11=Nipa leaves                  |                        |

**Codebox #14: Roof material**

|                                 |  |
|---------------------------------|--|
| 01=AC Roofing sheets            | 14=Thuthuri sticks                       |
| 02=Asbestos sheets              | 15=Tiles/slates                          |
| 03=Bamboo/Cane                  | 16=Wood & mud/adobe                      |
| 04= Concrete/cement             | 17= Wood & stones                        |
| 06= Galvanised /Corrugated iron | 18=Wood/planks                           |
| 09=Palm leaves                  | 19=Other specify _____                   |
| 10=Plastic sheet                | 25=Cardboard                             |
| 11= Straw/thatch                | 20= Leaves (Achon, omiro, chorino, etc.) |
| 12=Sugar cane leaves            | 23=Cane & mud                            |
| 13=Tar slabs                    | 24= Mat                                  |

**Codebox #15: Floor material**

|                        |
|------------------------|
| 01= Cement/tile        |
| 02=Cinder              |
| 03= Concrete/cement    |
| 04= Earth/Sand         |
| 05=Granite stone       |
| 06= Laminated material |
| 07=Marble stone        |
| 08=Polished stone      |
| 09= Stone/brick        |
| 10=Tar slabs           |
| 11= Wood               |
| 12=Other specify       |
| 77=NK                  |
| 79=Refused to answer   |

**Codebox #16: Source of drinking water**

|   |
|---|
| 01=Bore well  |
| 02=Bought water (delivery or bottled)               |
| 03= Piped into dwelling/yard/plot                   |
| 04=Piped into neighbours dwelling/yard/plot         |
| 05=Piped into relatives' dwelling/yard/plot         |
| 06=Protected spring water                           |
| 07=Protected well                                   |
| 08= Public standpipe/tube well                      |
| 09=Rain water                                       |
| 10= Tube well in dwelling/yard/plot                 |
| 11= Unprotected well/spring/pond/river/stream/canal |
| 12=Unprotected water tank                           |
| 13=Water tank (community/protected)                 |
| 14=Other  |

**Codebox #17: Type of toilet**

|                               |
|-------------------------------|
| 01= Flush toilet/septic tank  |
| 02=Forest/field/open place    |
| 03=Neighbours toilet          |
| 05= Pit latrine (communal)    |
| 06= Pit latrine (household's) |
| 07=Relatives toilet           |
| 08=Simple latrine on pond     |
| 09=Toilet in health post      |
| 10=Other specify _____        |

**Codebox #18: Fuel for cooking/ heating**

|                        |
|------------------------|
| 01=Bamboo              |
| 02=Bio-gas             |
| 03=Branches            |
| 04=Charcoal            |
| 05=Coal                |
| 06=Cow dung            |
| 07=Crop residue        |
| 08=Gas/electricity     |
| 09=Kerosene/paraffin   |
| 10=Leaves              |
| 11=None                |
| 12=Rice husk           |
| 13=Shavings/sawdust    |
| 14=Straw/dead plants   |
| 16=Wood                |
| 15=Other specify _____ |

**Codebox #19: Dwelling improvement**

|  |
|--|
| 01=New/renovated bedrooms                |
| 02=New/renovated kitchen                 |
| 03=New/renovated bathroom                |
| 04=New/renovated living room             |
| 05=Improved floor                        |
| 06=Improved wall finish                  |
| 07=Improved roof cover                   |
| 08=Rebuild dwelling                      |
| 09=Electric re-wiring                    |
| 10=Central heating/gas servicing         |
| 11=Sanitation                            |
| 12=Water supply                          |
| 13=Other specify _____                   |
| 15=Build new house                       |
| 16=Improve/Build fence/wall around house |
| 17=Improve/New doors/windows             |
| 88=NA                                    |