

**YOUNG LIVES** 

ROUND 4 SURVEY PERU JUNE TO DECEMBER 2013

HOUSEHOLD QUESTIONNAIRE

**OLDER COHORT** 



UNIVERSITY OF OXFORD

Young Lives is funded by UK aid from the Department for International Development (DFID)

www.younglives.org.uk

## HOUSEHOLD QUESTIONNAIRE OLDER COHORT ROUND 4 PERU

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# Codeboxes

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# **DATA HANDLERS**

# Start Date of Interview

Q.1	Start Date of Interview Enter day, month and year.	[///] DDMMYYYY
Q.2	Fieldworker ID who started the interview	[]

# Start Date of Supervisor Check

Q.3	Start Date of Supervisor Check Enter day, month and year.	[///] DDMMYYYY
Q.4	Supervisor ID who started the interview	[]

#### 0. HOUSEHOLD ROSTER

Q.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)

									FIELDWOR	KER: Only ask thes	e questions to <u>ci</u>	urrent nousenol	d members (previous and tena		those whose relation	onship to YL Child	is maid (20), r	nannies (22)	
	Q.1		Q.2	Q.3	Q.4	Q.5	Q.6	Q.7	Q.8	Q.9	Q.10	Q.11	Q.12	Q.13	Q.14		Q.15		Q.15a
f household	Full name	Age in R3	How old is [HHM]	Age in	Sex	Relationship to	Does [HHM] still live in the	Which year did	When is	What is [HHM]'s	What is her/his	Does [HHM]	Does [HHM]'s	Does [HHM]'s	Was [HHM] born in	Where	was [HHM] bor	n?	Another country or not in the country list, ple
nber			(in completed	completed		[YL Child]:	household?	[HHM] die in?	[HHM]'s	relationship to the	marital status?	have a		biological father live	this district?				specify
			years)?	months					birthday?	household head?		permanent		in the household?		Ask for departr	nent, province a	and district	
				1				<ul> <li>Skip to next</li> </ul>			Enter code from		household?		00=No	77	=NK, 88=NA		1
		[PREFILLED FOR			[PREFILLED	codebox #0	Skip to Q.8	row	Write only	Enter code from	Codebox #2.	affects his/her		If yes Specify her					
	PHHM's]	PHHM's]	years Skip to		FOR		02=No, lives elsewhere temporarily ►		MONTH.	Codebox #1.			If yes Specify her	ID	next section	NOTE: If another of			
			Q.4		PHHM's]	[PREFILLED	Skip to next row					and/or daily	ID		77=NK	89 in Depar	tment and go to	Q.15a	
						FOR PHHM'S]	03=person has died					activities?		98=Not in household					
			00=less than 1				04=No, lives elsewhere permanently						98=Not in household	/ Died					1
			year				<ul> <li>Skip to next row</li> </ul>					00=No 01=Yes	/ Died						1
			-77=NK				05 =Person not known by the					01=Yes							1
			-77=NK -88=NA				household ► Skip to next row												
			00-101													Department			1
																89=Not in the	Province	District	
																country list			
[ ]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	L
		1 1	r 1	1 1	r 1	1 1	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[]	[ ]	[ ]	
[]	· []																		
		[]		[]	[]	[]	ii	[]	[]	[]	[]	[]	[]	ii	[]	[]	[]	[]	i i

\*PHHM = Previous Household Members

## **1. HOUSEHOLD EDUCATION**

Q.0 ID of respondent for this section (identify the respondent for this section using the ID from the	[]
household roster)	

SAY: Now I am going to ask about the education of nuclear family of [YL Child] who are 3 years or older.

		FIELDWORKER: Ask this question for HHM who are		FIELDWORKER: Ask these question old	3		
	0.1	more than 5 years old.	0.2	6.1	0.5	0.0	0.7
ID of household member	that [HHM] completed or is currently studying? 00=None 20= Some form of formal or informal preschool Grade=01-11	from 3rd to 4th grade of primary or from kindergarten to 1st grade of primary, that counts as a		Q.4 Why is [HHM] currently NOT in full- time education? Enter code from Codebox # 3	Q.5 How old was [HHM] when he/she completed/ stopped full-time education? (ENTER AGE, 77=NK, 88=NA) ► After entering answer, skip to Q.7	Q.6 What type of school, institute or university are they currently attending? 01=Private, 02=NGO/Charity/ Church (not for profit), 03=Public, local municipality 04=Public, of the national government, 06=Informal, 07=Half public/Half Private 05=Other (specify) 77=NK 88=NA 79=RTA ► After entering answer, go to next row (next HHM)	Q.7 What type of school, institute or university was the last they attended? 01=Private, 02=NGO/Charity/ Church (not for profit), 03=Public, local municipality 04=Public, of the national government, 05=other (specify) 06=Informal, 07=Half public/Half Private 77=NK 88=NA 79=RTA
[]	[ ] Other (Specify)	[]	[]	[]	[]	[ ] Other (Specify)	[ ] Other (Specify)
[]	[ ] Other (Specify)	[]	[]	[]	[]	[ ] Other (Specify)	[ ] Other (Specify)
[]	[ ] Other (Specify)	[]	[]	[]	[]	[ ] Other (Specify)	[ ] Other (Specify)
[]	[ ] Other (Specify)	[]	[]	[]	[]	[ ] Other (Specify)	[ ] Other (Specify)

# 2. INCOME AND EARNINGS

# 2.1. RELATIVE IMPORTANCE OF INCOME

Q.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)\_\_\_\_\_

[\_\_\_]

SAY: I am going to ask you about what people in this household do to get income.

Q.1 In the past 12 mont	hs, from which of the following activities does the household pe	erceived its MONETARY income?		
ID	HAVE EARNED INCOME FROM:	<b>Received?</b> 00 = No 01 = Yes		
INDEPENDENT ACTIV	ITY (Self-Employment /Business)			
01	Independent Farmer.(From land owner, rented or borrowed)	[]		
02	Cattle/Stockbreeding (including small animals breeding)	[]		
03	Fishing	[]		
04	Forestry	[]		
05	Other Primary Activity (Specify)	[ ] Specify		
06	Transport (Taxi driver, owner vehicle)	[]		
07	Trade	[]		
08	Industry (Small and Medium Business, Tailoring, Handicrafts)	[]		
09	Other service (Specify)	[ ] Specify		
10	Other (Specify)	[ ] Specify		
WAGE EARNING ACTI	VITY			
11	Work for Wages in Agriculture, Fishing, Forest, Cattle/Stockbreeding	[]		
12	Non-Agriculture Work for wages (Industry, Transport, Trade and Other Services)	[]		

**FIELDWORKER:** Give the interviewee 20 pebbles and as many cups as activities were mentioned in Q.1. Ask him/her to place the 20 pebbles in the cups, starting with the most important activity for the household in terms of MONETARY income in the past 12 months. All pebbles must be placed. Remind the interviewee that all income sources should be included - ie. ignoring whether it is included or not in the family pot.

Rank	<b>FIELDWORKER:</b> After playing the "pebbles game", enter in order of importance, the <u>activities</u> that generate MONETARY income in the household.	FIELDWORKER: Enter number of pebbles placed in each cup starting with the most important <u>activity</u> .				
	Q.2 Activity	Q.3 Importance				
1	[]	[]				
2	[]	[]				
3	[]	[]				
4	[]	[]				
5	[]	[]				
6	[]	[]				
7	[]	[]				
8	[]	[]				
9	[]	[]				
10	[]	[]				
11	[]	[]				
12	[]	[]				

Q.4 Which household members generate this monetary income?					
ID of household member	TICK ALL THAT APPLY.				
[]					
[]					
[]					
[]					

FIELDWORKER: Give the interviewee 20 pebbles and as many cups as household members mentioned in Q.4. Ask him/her to place the 20 pebbles in the cup of each household member mentioned, starting with the most important one for the household in terms of MONETARY income generated in the <u>past 12 months</u>. All pebbles must be placed in the cups. Remind the interviewee that all income sources should be included - ie. ignoring whether it is included or not in the family pot.

Rank	<b>FIELDWORKER:</b> After playing the "pebbles game", enter in order of importance, the <u>household members</u> that generate MONETARY income in the household.	FIELDWORKER: Enter number of pebbles placed in each cup starting with the most important household member.
	Q.5 Household Members	Q.6 Importance
1	[]	[]
2	[]	[]
3	[]	[]
4	[]	[]
5	[]	[]
6	[]	[]
7	[]	[]
8	[]	[]
9	[]	[]
10	[]	[]
11	[]	[]
12	[]	[]
	TOTAL PEBBLES (Make sure they sum up to 20)	[]

#### 2. INCOME AND EARNINGS

#### 2.2. PRINCIPAL JOB DURING THE LAST 12 MONTHS

FIELDWORKER: If [YL Child] is the head of household, skip to section 3.1 Productive Assets.

SAY: Now I am going to ask you about the earnings of [HEAD OF HOUSEHOLD].

FIELDWORKER: If the head of household does not earn an income, ask for the earnings of the member of the household with the highest income according to the "pebbles game".

Q.1 In this main occupation/activity do/does you/he/she work as a:					
01=Dependent/Waged worker 02=Independent worker ► Skip to Q.7 77= NK, 88=NA, 79=RTA	[]				
Q.2 In relation to the last net payment received; how much did you/he/she receive, and how often did you/he/she receive it?	<b>Q.2.1</b> Amount -77=NK, -88=NA []	Q.2.2 Time unit (Codebox #4) []	Q.2.3 Number of times received in the last 12 months -77=NK, -88=NA []		
Q.3 In the past 12 months: Did you/he/she receive a bonus, extras or any other additional payment in cash? 00= No ► Skip to Q.5 01=Yes 77= NK, 88=NA, 79=RTA		[	1		
Q.4 In the past 12 months: How much did you/he/she receive and how often did you/he/she receive it/them?	Q.4.1 Amount - 77=NK, -88=NA	<b>Q.4.2</b> Time unit (Codebox #4) []	Q.4.3 Number of times received in the last 12 months -77=NK, -88=NA []		
Q.5 In the past 12 months: Did you/he/she receive any remuneration in goods and services, free or subsidized (e.g. meals, food, transport, clothes/uniform, lodging/house or others?) 00= No ► Skip to Q.8 01=Yes		[	]		
Q.6 In the past 12 months: How much did you/he/she receive and how often did you/he/she receive it/them?	<b>Q.6.1</b> Amount	Q.6.2 Time unit (Codebox #4) []	Q.6.3 Number of times received in the last 12 months -77=NK, -88=NA []		
Q.7 In the past 12 months: In this activity, how much was your/her/his last net income (Income – Costs) in the last. [time unit]?	<b>Q.7.1</b> Amount -77=NK, -88=NA	Q.7.2 Time unit (Codebox #4) []	Q.7.3 Number of times received in the last 12 months -77=NK, -88=NA []		
Q.8 What type of activity are you (he/she) engaged in? (Codebox # 15)		[	]		

## 3. LIVELIHOODS AND ASSET FRAMEWORK

## 3.1 LIVESTOCK AND PRODUCTIVE ASSETS

Q.1	Has anyone in the household owned any livestock in the last 12 months? (Do not include pets)	
	01= Yes, 00 = No ► Skip to Q.6.	[]

		Q.2	Q.3	Q.4
	Type of Livestock	Has anyone in the household owned any of the following animals at any time in the last 12 months?	How many of the following animals does the household currently own?	If you were to sell all your [NAME OF ANIMAL] today how much would you get for them? Enter in Nuevos Soles
		00 = No ▶ Skip to next row 01 = Yes, 77 = NK, 88=NA	-77 = NK -88 = NA	-77 = NK -88 = NA
	Milk animals			
01	Cow (modern variety)	[]	[]	[·]
02	Cow (traditional variety)	[]	[]	[·]
	Plough or Draught animals			
06	Ox/Buffalo	[]	[]	[·]
09	Horse, donkey, mule	[]	[]	[· ]
	Small Ruminants			
13	Sheep	[]	[]	[· ]
14	Goat	[]	[]	[· ]
15	Pigs	[]	[]	[· ]
16	Poultry (chickens, ducks, other birds)	[]	[]	[· ]
17	Rabbits	[]	[]	[· ]
	COUNTRY SPECIFIC			
51	Llama, alpaca, guanaco	[]	[]	[· ]
52	Guinea pigs	[]	[]	[· ]
53	Snails	[]	[]	[]
54	Bees/Beehives	[]	[]	[· ]
55	Fish Ponds	[]	[]	[· ]
56	Marine Shrimp Tanks	[]	[]	[· ]
57	Fresh Water shrimp tanks	[]	[]	[· ]
58	Others (Specify)	[]	[]	[· ]

#### PRIMARY OCCUPATION PRODUCTIVE ASSETS

ſ	Do you have any assets (owned, rented, or borrowed) that allow you (the household) to work and generate income?	
	00=No ► Skip to next section 01=Yes	[]
	77=NK ► Skip to next section	

## FIELDWORKER: Please administer the following table row by row.

Q.7	Q.8	Q.9	Q.10	Q.11	
ASSET – owned, rented or borrowed Write asset's name and then enter code from Codebox #5	For what activity is asset used? (enter from Codebox #6)	How many of them do you actually own? ENTER NUMBER OWNED -77=NK IF *00° or NK ► Skip to next row	If you sold it/them today, how much could you get? Enter in Nuevos Soles -77=NK, -88=NA	If you were to sell/rent it/them, can [YL Child] dispose entirely or partially of the money obtained? 00 = No 01 = Yes -77 = NK	
1. [ ] Specify	[ ] Specify	[]	[]	[]	
2. [ ] Specify	[] Specify	[]	[]	[]	
3. [ ] Specify	[] Specify	[]	[]	[]	
4. [ ] Specify	[] Specify	[]	[]	[]	
5. [ ] Specify	[ ] Specify	[]	[]	[]	
6. [ ] Specify	[ ] Specify	[]	[]	[]	

#### 4. FOOD AND NON-FOOD CONSUMPTION

Q.0 ID of respondent for this section (identify the respondent for this section	
	[ ]
using the ID from the household roster)	l J

#### 4.1 FOOD CONSUMPTION AND EXPENDITURE

SAY: Now I am going to ask you about the food that your household consumed in the last 15 days:

Q.1	During the last 15 days did your household consume any food which came from your <u>own farm</u> ? 00 = No 01=Yes ► Which items were they? Tick in the column <b>Q.1</b> of the next screen. 77 = NK	[]
Q.2	Did your household receive any <u>donations or presents</u> of food which you have eaten in the last 15 days? 00 = No 01=Yes ► Which items were they? Tick in the column <b>Q.2</b> of the next screen. 77 = NK	[]
Q.3	Has your household eaten foods which came from your <u>own business</u> (e.g. own shop) in the last 15 days? 00 = No, 01=Yes ► Which items were they? Tick in the column <b>Q.3</b> of the next screen. 77 = NK	[]
Q.4	Has your household eaten any foods which someone in the household received as <u>part payment</u> in the last 15 days? 00 = No, 01=Yes ► Which items were they? Tick in the column <b>Q.4</b> of the next screen. 77 = NK	[]

							Bought		Own harvest, own stock, gifts, transfers and food aid			nd food aid		
						Q.5 Have you or any member of the household, in the past 15 days, eaten [NAME OF FOOD ITEM]?	Q.6 How much did you spend <u>buying</u> [NAME OF FOOD ITEM] in the last 15 days?	Q.7 Did you eat everything?	Q.8 If you didn't eat everything estimate the value of the food left over.			iness or supplies in <u>I</u>		Q.13 In the last 15 days, how much have you eaten of any [NAME FOOD ITEM] that you bought <u>before</u> these last 15 days?
	Q.1 FARM	Q.2 PRESENT	Q.3 OWN BUSINESS	Q.4 PART PAYMENT	FOOD ITEMS	00=No 01=Yes 77=NK If No or NK ► skip to next food	Enter in Soles. 00=Not bought ► If Q.1-Q.4 are marked, skip to Q.9 ► If Q.1-Q.4 are NOT marked, skip to Q.13 77=NK; 88=NA	00=No 01=Yes	Enter in Soles. ► If Q.1-Q.4 are marked, skip to Q.9 ► If Q.1-Q.4 are NOT marked, skip to Q.13 77=NK; 88=NA	Q.9 Farm – What is the value of the [NAME FOOD ITEM] eaten Enter in Soles. -77=NK -88=NA	Q.10 Presents – What is the value of the [NAME FOOD ITEM] eaten Enter in Soles. -77=NK -88=NA	Q.11 Own business – What is the value of the [NAME FOOD ITEM] eaten Enter in Soles. -77=NK -88=NA	Q.12 Part payment - What is the value of the [NAME FOOD ITEM] eaten Enter in Soles. -77=NK -88=NA	Enter value in Soles. 00=None -77=NK -88=NA Go to the next row.
1	[]	[]	[]	[]	Rice (any type or presentation)	[]	[]	[]	[]	[]	[]	[]	[]	[]
3	[]	[]	[]	[]	Whole wheat (grain), cornflour, maize and derivatives	[]	[]	[]	[]	[]	[]	[]	[]	[]
8	[]	[]	[]	[]	Red meat, beef, pork, lamb, mutton, llama, alpaca, guinea pig, rabbit etc.(INCLUDES OFFAL OF RED MEAT)	[]	[]	[]	[]	[]	[]	[]	[]	[]
9	[]	[]	[]	[]	Poultry (INCLUDES OFFAL OF POULTRY) Chicken, turkey, duck etc.	[]	[]	[]	[]	[]	[]	[]	[]	[]
12	[]	[]	[]	[]	Milk	[]	[]	[]	[]	[]	[]	[]	[]	[]
15	[]	[]	[]	[]	Eggs	[]	[]	[]	[]	[]	[]	[]	[]	[]
19	[]	[]	[]	[]	Tubers, roots (potatoes, sweet potato, cassava, oca, olluco, mashua etc)	[]	[]	[]	[]	[]	[]	[]	[]	[]
21	[]	[]	[]	[]	Fresh, frozen, canned vegetables and salads, include onions and garlic.	[]	[]	[]	[]	[]	[]	[]	[]	[]
22	[]	[]	[]	[]	Fresh fruits (include lemons)	[]	[]	[]	[]	[]	[]	[]	[]	[]
25	[]	[]	[]	[]	White or brown sugar	[]	[]	[]	[]	[]	[]	[]	[]	[]
29	[]	[]	[]	[]	Prepared meals bought outside the home but eaten in the home (eg Pizza, roasted chicken).	[]	[]	[]	[]	[]	[]	[]	[]	[]
30	[]	[]	[]	[]	Prepared meals prepared and eaten outside the home (restaurants, cafeterias etc)	[]	[]	[]	[]	[]	[]	[]	[]	[·_]
32	[]	[]	[]	[]	Alcoholic drinks (wine, beer, liquor etc)	[]	[]	[]	[]	[]	[]	[]	[]	[]

SAY: Now I will ask you which household members do NOT usually consume the food items you just mentioned.

		Q.14
ID of household member	Household member name	Which household members do NOT consume at least one meal per day in the
[PREFILLED FROM HOUSEHOLD ROSTER]	[PREFILLED FROM HOUSEHOLD ROSTER]	house?
-		Tick all the household members that apply.
[]	[]	
[]	[]	
[]	[]	

# 4. FOOD AND NON-FOOD CONSUMPTION

# 4.2 NON-FOOD EXPENDITURE

SAY: Now I would like you to tell me how much you have spent on the items I am going to mention. Please consider the cost or price at the time of purchase.

Q.1	In the <u>last 30 days</u> how much money have you spent on the following items: (In Soles) 00 = Nothing, -77 = NK	
04	Internet	[·_]
25	Telephone (land line)	[·_]
26	Mobile phone	[·_]
27	Electricity	[·_]
28	Water supply	[·_]
30	Maintenance, repairs, improvements on your house	[·_]
33	Cable TV	[·_]
Q.2	In the <u>last 12 months</u> , how much money in total has your household (all the members of the household) spent on the following? 00 = Nothing, -77 = NK	
19	Vehicle maintenance	[•_]
22	Festivals, parties, celebrations, receptions etc	[•]
23	Departure of a household member (wedding, funeral etc)	[•_]

SAY: Now I would like you to tell me how much you have spent on these items.

-		Q.3	Q.4
		In the <u>last 12 months</u> , have you bought, paid for, spent on these items?	In the <u>last 12 months</u> , how much was the total amount spent by the household?
	Name of article, product or activity	00 = No ► Skip next row 01 = Yes 77= NK ► Skip next row	Enter in Soles. 00=Nothing -77=NK -88 = NA
01	Clothing (adult men)	[]	[·_]
02	Clothing (adult women)	[]	[·_]
	If the family doesn't know which sex applies ask question 02A		
02A	Total expenditure in clothes (adults)	[]	[·_]
03	Clothing (girls - Excluding school uniform)	[]	[·_]
04	Clothing (boys – Excluding school uniform)	[]	[·_]
	If the family doesn't know which sex applies ask question 04A		
04A	Total expenditure on children's clothing (Excluding school uniform)	[]	[·_]
05	Footwear (eg shoes, trainers etc) for adult men	[]	[·_]
06	Footwear (eg shoes, trainers etc) for adult women	[]	[·_]
07	Footwear (eg shoes, trainers etc) for girls	[]	[·_]
08	Footwear (eg shoes, trainers etc) for boys	[]	[·_]
17	Payments for medical consultation and treatment	[]	[·_]
23	Other costs/transfers (taxes, contributions to social security, furniture, insurance, electrical appliances, donations etc )	[]	[·_]

# **5. SOCIAL CAPITAL**

	ID of respondent for this section (identify the respondent for this section using	г 1
Q.0	the ID from the household roster)	l ]

# **5.1 SUPPORT NETWORKS**

Q.1	Suppose you are in need of material support. How many people can you rely on in time of need? 01 = 1-2 people 02 = 3-5 people 03 = 6-10 people 04 = 11-15 people 05 = 16-20 people 06 = 21-30 people 07 = over 30 people Write 00 if none 77=NK 88=NA 79=RTA	[]
Q.2	How many relatives live in this community (excluding those in your own household)? 00=None ► Skip to Q.4 01=1-5 02=6-10 03=11-20 04=21-30 05=over 30 77=NK 88=NA 79=RTA ► Skip to Q.4	[]
Q.3	How many of these relatives are influencial in the community? 00=None 01=1-5 02=6-10 03=11-20 04=21-30 05=over 30 77=NK 88=NA 79=RTA	[]
Q.4	Supposing you needed 1000 soles at very short notice, would you be able to raise the money? READ THE ALTERNATIVES 01=Yes, easily, 02=Probably, 03=Not at all, 77=NK 88=NA 79=RTA	[]

# 6. ECONOMIC CHANGES AND RECENT LIFE HISTORY

<b>Q.0</b> ID of respondent for this section (identify the respondent for this	
section using the ID from the household roster)	L]

## **6.1 ECONOMIC CHANGES**

SAY: I am now going to ask you to think about your current circumstances and how and why they might have changed over recent periods.

	Period of Time	Q.1 During this period [MENTION PERIOD OF TIME], how would you describe the household you were living in?	Q.2 Please give the two main reasons why y situation has changed compared to the earl period.	
			Reason 1	Reason 2
No.		01=Very rich, 02=Rich 03=Comfortable – manage to get by 04=Struggle - never have quite enough 05=Poor, 06=Destitute 77=NK, 88=NA, 79=RTA If the respondant says "Same, has not changed", skip to <b>Q.3</b> .		
1	Currently	[]		
2	FOUR Years ago (around time of previous visit)	[]	[ ] Other, specify	[ ] Other, specify

Q.3	Compared with other households in your area, would you describe your household at the moment as:	
	01 = The richest	
	02 = Among the richest	
	03 = Richer than the majority of homes	[]
	04 = Average	
	05 = A bit poorer than the majority of households	
	06 = Among the poorest	
	07 = The poorest	
	77 = NK	

# 6. ECONOMIC CHANGES AND RECENT LIFE HISTORY

# 6.2 SHOCKS

SAY: Now I am going to ask you about the most important events and changes that have happened (that negatively affected the household economy) since the last time we came to see you in 2009.

	EVENTS AND CHANGES	Q.1 Has [SHOCK NAME] happened since 2009? 00=No ► Skip to next row	Q.2 Indicate if each event happened in any of these years. FIELDWORKER: Please tick in the box under the year in which the event happened.			pened.	
		01=Yes		00=No, (	01=Yes		
			2009	2010	2011	2012	2013
Α	SAY: Has the household been the victim of any crimes	since we last came to see you	in 2009? Prompt for the listed event	s. For example			
1	Destruction or theft of tools or inputs for production	[]	[]	[]	[]	[]	[]
2	Theft of cash	[]	[]	[]	[]	[]	[]
3	Theft of crops/harvest	[]	[]	[]	[]	[]	[]
4	Theft of livestock	[]	[]	[]	[]	[]	[]
5	Theft or destruction of house or consumer goods	[]	[]	[]	[]	[]	[]
6	Crime/Attack resulting in death or disablement of working adult household member	[]	[]	[]	[]	[]	[]
50	Imprisonment, kidnapping or levy of a working adult member of the household	[]	[]	[]	[]	[]	[]
В	SAY: Have any regulations or actions had a negative im	pact on the household since v	ve last came to see you in 2009? Pro	ompt for the listed	events. For exam	ple	
7	Redistribution of land	[]	[]	[]	[]	[]	[]
8	Forced relocation	[]	[]	[]	[]	[]	[]
10	Forced contribution	[]	[]	[]	[]	[]	[]
11	Confiscation of goods	[]	[]	[]	[]	[]	[]
51	Imprisonement for political reasons	[]	[]	[]	[]	[]	[]
52	Discrimination for political reasons	[]	[]	[]	[]	[]	[]
53	Discrimination for social or ethnic reasons	[]	[]	[]	[]	[]	[]
61	Invasion of property	[]	[]	[]	[ ]	[]	[]

	EVENTS AND CHANGES	Q.1 Has [SHOCK NAME] happened since 2009? 00=No ► Skip to next row 01=Yes				pened. 2013	
	SAY: Have any changes to economic conditions affected Large increase in input prices				s. For example	1	[ ]
13	Large decrease in output prices	[]					[]
15	Place of employment shut down / destroyed	[]					[]
62	Job loss	[]		[]	[]	[]	[]
63	Source of income/family enterprise	[]	[]	[]	[]	[]	[]
17	Industrial action	[]	[]	[]	[]	[]	[]
	Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding purchased inputs	[]	[]	[]	[]	[]	[]
19	Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding sale of output	[]	[]	[]	[]	[]	[]
20	Credit source disbanded	[]	[]	[]	[]	[]	[]
54	Disputes with extended family members regarding land	[]	[]	[]	[]	[]	[]
	Disputes with extended family members regarding other assets	[]	[]	[]	[]	[]	[]
	Disputes with neighbours/ community members regarding land	[]	[]	[]	[]	[]	[]
57	Disputes with neighbours/ community members regarding other assets	[]	[]	[]	[]	[]	[]

	EVENTS AND CHANGES	Q.1 Has [SHOCK NAME] happened since 2009? 00=No ► Skip to next row 01=Yes	FIELDWORKER: Please	00=No, 01	er the year in whi I=Yes	ich the event happ	
_			2009	2010	2011	2012	2013
D	SAY: Have you experienced any natural disasters since	we last came to see you in 20	09? Prompt for the listed events. Fo	or example			
24	Drought	l]		<u> </u>	<u>    l                                </u>	<u>    l          l          l          </u>	<u>    l          l          l          </u>
25 26	Too much rain or flood			<u> </u>	_ <u>_</u>	<u>_</u>	<u>_</u>
	Erosion, cracks, landslides	l]			<u> </u>		<u>     l                               </u>
27	Frosts or hailstorm	l J	l J			l]	l J
28	Pests or diseases that affected crops before they were harvested	[]	[]	[]	[]	[]	[]
29	Crops failed	[]	[]	[]	[]	[]	[]
30	Pests or diseases that led to losses of stored crops	[]	[]	[]	[]	[]	[]
31	Pests or diseases that affected livestock	[]	[]	[]	[]	[]	[]
58	Earthquake	[]	[]	[]	[]	[]	[]
59	Forest fire	[]	[]	[]	[]	[]	[]
14	Livestock died	[]	[]	[]	[]	[]	[]
Ε	SAY: Has anything happened since we last came to see	e you in 2009 that has affected	the building you live in? Prompt for	the listed events. For	or example		
32	Fire	[]	[]	[]	[]	[]	[]
33	Building collapsed	[]	[]	[]	[]	[]	[]
F	<b>SAY:</b> Have there been any changes within the family or	that have affected members o	f the family since we last came to se	ee you in 2009? Pro	mpt for the follow	ing events. For e	xample
34	Death of [YL Child]'s father	[]	[]	[]	[]	[]	[]
	Death of [YL Child]'s mother	[]	[]	[]	[]	[]	[]
36	Death of another person from the household	[]	[]	[]	[]	[]	[]
37	Illness of [YL Child]'s father	[]	[]	[]	[]	[]	[]
38	Illness of [YL Child]'s mother	[]	[]	[]	[]	[]	[]
39	Illness of other household member	[]	[]	[]	[]	[]	[]
69	Illness of non-household member	[]	[]	[]	[]	[]	[]
40	Divorce, separation or abandonment	[]	[]	[]	[]	[]	[]
41	Birth/new household member	[]	[]	[]	[]	[]	[]
42	Child's school enrolment – having to pay school fees	[]	[]	[]	[]	[]	[]

	EVENTS AND CHANGES	Q.1 Has [SHOCK NAME] happened since 2009? 00=No ► Skip to next row 01=Yes	Q.2 Indicate if each event happened in any of these years. <b>FIELDWORKER:</b> Please tick in the box under the year in which the event happened. 00=No. 01=Yes			pened.	
			2009	2010	2011	2012	2013
G	SAY: Has anything else happened that has affected the	economic situation of your ho	usehold since we last came to see y	ou in 2009? For e	example		
60	Pollution caused by mining	[]	[]	[]	[]	[]	[]
45	Other	r 1	r 7	г 1	г 1	r 1	r 1
	Specify:		L J	L J	L J	L J	L J
46	Other	r 1	r 1	г 1	г 1	r ı	г 1
	Specify:		L J	L ]	L J	L]	L J

<b>Q.3</b> Indicate the 3 most important negative events that	Years in which event	Q.4 How much money did HH
affected the economic situation of the household.	happened.	spend / lose due to the event on
Enter events by order of importance.	[PREFILLED FROM Q.2].	year
88 = NA		01=There was no economic loss 02=Only a fraction of HH income 03=Less than half of HH income 04=Half or more than half of HH income 77=NK, 88=NA, 79=RTA
	2009	[]
	2010	[]
[]	2011	[]
	2012	[]
	2013	[]
	2009	[]
	2010	[]
[]	2011	[]
	2012	[]
	2013	[]
	2009	[]
	2010	[]
[]	2011	[]
	2012	[]
	2013	[]

# 7. SOCIO-ECONOMIC STATUS

## SAY: Now I am going to ask some questions about the place where you live.

Q.2	Does anyone in your household own your house? 00=No, 01=Yes, 77=NK	[]
Q.3	Does anyone in your household have a mortgage on your house? 00=No, 01=Yes, 77=NK	[]
Q.4	How many rooms are there in the house? 77=NK	[]
Q.5	Do you have electricity? 00=No, 01=Yes, 77=NK	[]

## **OBSERVE BUILDING MAIN MATERIAL:**

Q.6	WALL (Enter codes from codebox #8)	[ ] Other, specify
Q.7	ROOF (Enter codes from codebox #9)	[ ] Other, specify
Q.8	FLOOR (Enter codes from codebox #10)	[ ] Other, specify
Q.9	What is the main source of drinking water for members of your household? (Enter codes from codebox #11)	[ ] Other, specify
Q.10	Normally before drinking water in your house, Do you boil it, apply chlorine or you just drink it as is? 01 = Boil it 02 = Add a chemical (including chlorine, tablets or similar) 03 = Use of Solar Disinfection Technique (SODIS Method_ plastic bottle left in the sun) 04 = Use a filter 05 = You drink it directly without any treatment 06 = Other (specify)	[ ] Other, Spec:
Q.11	What kind of main toilet facility does your household use? (Enter codes from codebox #12)	[ ] Other, specify
Q.12	What is the <b>main</b> type of fuel you use for cooking? (Enter codes from codebox #13)	[ ] Other, specify 
Q.13	Is heating used in this area? 00=No, 01=Yes, 77=NK If NO or NK ► Skip to Q.15	[]
Q.14	What is the <b>main</b> type of fuel you usually use for heating? (Enter codes from codebox #14)	[ ] Other, specify 
Q.15	ONLY For RURAL areas: Do you have an "improved cooker"? 00=No, 01=Yes, 77=NK	[]

**SAY:** I am now going to ask you whether the household owns specific items. **FIELDWORKER:** Ask about each item. Then ask which five are most valuable and for these five, ask for the value.

		Q.16 Does anyone in	Q.17 How many does the
		the household own this item?	household own?
	Item	01=Yes, 00=No, 77=NK If No or NK ► Skip to next item.	(Enter number, 77=NK) 00=None, -77=NK -88=NA
3	Working sewing machine	[]	[]
4	Working television	[]	[]
5	Working radio	[]	[]
6	Working car/ truck/ automobile	[]	[]
7	Working motorbike/scooter	[]	[]
8	Working bicycle	[]	[]
9	Working landline telephone	[]	[]
10	Working mobile/cell telephone	[]	[]
11	Working refrigerator	[]	[]
12	Gas or electric stove	[]	[]
15	Working Fan	[]	[]
20	Working water heater/immersion heater (electric or gas)	[]	[]
21	Computer/laptop	[]	[]
22	Iron	[]	[]
23	Blender	[]	[]
24	Working record player, music centre	[]	[]
25	Working videocassette player/DVD player	[]	[]
26	Washing machine	[]	[]
27	Dryer Machine	[]	[]
28	Microwave	[]	[]
29	Weaving/knitting machine	[]	[]
30	Videogames	[]	[]
31	Working floor polisher/hoover	[]	[]

<b>Q.18</b> What a	re the five most valuable (importance) items owned by the household?	<b>Q.19</b> If you were to sell these items, how much do you think they would fetch?	
01	Item #1	[]	[]
02	Item #2	[]	[]
03	Item #3	[]	[]
04	Item #4	[]	[]
05	Item #5	[]	[]

Q.20	Have you invested in the improvement of your dwelling during the last 4 years? 01=Yes, 00=No, 77=NK If No or NK ► skip to next section.	[]
Q.21	If yes, what are the first, second and third most important you invested in? (You can give up to 3 answers). Enter codes using Codebox #15	[] Other, specify [] Other, specify [] Other, specify

# 8. PUBLIC PROGRAMMES

# 8.1 ACCESS TO KEY SERVICES AND PROGRAMMES

SAY. I am going to ask you about where you get information from

Q.1	Do you or any other household member use INTERNET services (INTERNET services, e-mail)? 00=No ► Skip to Q.3 01=Yes	[]
Q.2	Where do you or any household member go to use INTERNET? Enter up to 3 answers. 01= At home 02= Relative' s/ neighbour' s house 03 = School 04 = Public Booth 05 = Municipal Booth 06 = Other; specify 07 = Work/Office 08= I use a mobile phone / smartphone 77 = NK; 88=NA; 79=RTA	[ ] [ ] [ ]

SAY: Now I am going to ask you about public programs you might be familiar with

Q.3	Have you or anybody in the household heard about the Juntos program? 00=No ► Skip to Q.12 01=Yes	[]
<ul> <li>Q.4 Have you or any of [YL Child]'s parents applied to Juntos ?</li> <li>00 = N0 ► Skip to Q.12 01= Yes, I am a beneficiary 02= Yes, and was turned down ► Skip to Q.12 03= Yes, I was a member but left the program as children are older. 77= NK, 88= NA ► Skip to Q.12</li> </ul>		[]
Q.5	If Yes, since when? Month and Year -77= NK , -88= NA	[] []

	If you were enrolled in Juntos but are not any more, when did you	
	stop being enrolled?	
Q.6		[]
Q.0	Month/ Year	[]
	-77= NK , -88= NA	
	How long did/does it take you to get from your home to the Juntos	
Q.7	center to cash the money (minutes)?	[]
9.1		L J
	-77= NK , -88= NA	
	How much money did/do you spend to get from your home to the	
Q.8	center to cash the money (give answer in soles)?	[]
Q.0		L J
	-77= NK , -88= NA	
	Is there anyone else in your household that currently receives	
	transfers from Juntos ?	
Q.9		[]
	00=No ► Skip to Q.12	L J
	01=Yes	
	How many other household members receive transfers from	
	Juntos? (give number of persons)	, .
Q.10		[]
	Fieldworker: Only count if the household members are on the	
	roster.	
	How do you evaluate the Juntos Program:	
	01-Veny good	
	01=Very good 02=Good	
Q.11	03=Neither good or bad	[]
	04=Bad	
	05=Very bad	
	77= NK , 88= NA	
	Have you heard about the Bono de Gratitud/ Pensión 65 program?	
0.40	00=No ► End interview	r 1
Q.12	01=Yes	[]
	Does anyone in your household receive transfers from Bono de	
	Gratitud/Pension 65 program?	
	00-No N. End interview	
Q.13	00=No ► End interview	[]
	01=Yes	
	FIELDWORKER: Only count if the household members are on the roster	
	roster. How many household members receive transfers from Bono de	
Q.14	Gratitud / Pension 65? (Give number of persons)	[]
	· · · · ·	
	Since when do this household member(s) receive transfers from	
	Bono de Gratitud / Pension 65? (Month and Year)	[]
Q.15		[ ]
	FIELDWORKER: If there is more than one member affiliated	L J
	choose the one who affiliated first.	

# **DATA HANDLERS**

# End Date of Interview

Q.1	Date of interview Enter day, month and year.	[///] DD / MM /YYYY
Q.2	Fieldworker ID who finished the interview	[]

## End Date of Supervisor Check

Q.3	End Date of Supervisor Check Enter day, month and year.	[//]
Q.4	Supervisor ID who finished the interview	[]

# CODEBOXES

## Codebox #0: Relationship to YL Child

00=YL Child	10=Step-sibling (no parent in common)	20=Servant (farm-worker, maid, etc.)
01=Biological parent	11=Adoptive brother/sister	21=Other, unrelated
02=Step-parent (partner of biological parent)	12=Foster brother/sister	22=Nanny (Live-in)
03=Adoptive parent	13=Uncle/Aunt	23=Tenant/lodger
04=Foster parent	14=Cousin (inc. cousin brother & cousin sister)	24=Adoptive parent (Adoption legal)
05=Maternal grandparent	15=Nephew/Niece	25=Partner/Spouse of the YL Child
06=Paternal grandparent	16=Brother/sister-in-law (spouse of sibling)	26=Father-in-law/Mother-in-law
07=Brother/sister (both parents the same)	17=Great-grandparent (mother's side)	27=Child of YL child
08=Half-sibling (same father	18=Great grandparent (father's side)	
09=Half-sibling (same mother)	19=Other relative	77=NK, 88=NA

## Codebox #1: Relationship to household head

01=Household head
02=Spouse/Partner
03=Daughter/son
04=Son-in-law/Daughter-in-law
05=Grandchild
06=Parents
07=Other relatives
08=Domestic worker
09=Tenant / lodger
10=Other non-relatives
11=Father-in-law/mother-in-law

#### Codebox #2: Marital status

01=Cohabitant
2=Separated
3=Married
4=Widow(er)
5=Divorced
6=Single
77=NK, 88=NA, 79=RTA

#### Codebox #3: Reasons not in school

01=Fees too expensive	11=Banned from school because	21=Have to do paid work to earn	36 = School infrastructure not safe
1	failed to achieve necessary	money(including agricultural work	
	grade/level at school	other than for household)	
02=Books and/or other supplies too	12=Quality of education at school	22=It's not appropriate for girls to go	
expensive	(teaching and learning) poor	to/continue at school	77=NK, 88=NA, 79=RTA
03=Shoes/Clothes/Uniform for school too	13=Quality of care (food, non-	23=Marriage	
expensive	educational care) poor		
04=Transport too expensive	14=No sanitation facilities at school	24=Disability, Illness	
05=School too far from home	15=Bullying/abuse from peers	25=Family member ill/disabled/elderly	
		(including care for this family member)	
06=Not safe to travel to school	16=III-treatment/abuse from	26=Family issues e.g. problems at	
	teachers/principal	home - parent disputes/marital conflict	
07=Lack of transport	17=No need for schooling for future	27=Stigma and Discrimination (child	
	job	was not be welcome because of	
		ethnic group/caste/socioeconomic	
		group etc).	
08=Truancy, child does not want to go,	18=Need to learn a trade/skill, e.g.	33 = Job has opened better	
not interested	through apprenticeship so went to	posibilities	
	work		
09=Banned from school for behaviour	19=Need to stay home to look after	34 = Needs to work	
reasons	siblings		
10=Banned from school because away for		35 = Banned from school	
too long	agricultural work at home (include		
	chores, farm work, harvest)		

#### Codebox #4: Work time units

01 = Hour
02 = Day
03 = Week
04 = Two weeks
05 = Month
06 = Quarter
07 = Semester
08= Year
77=NK, 88=NA, 79=RTA

#### Codebox #5: Productive assets

Agricultural assets:	Non-agricultural assets:	Non-agricultural assets continued:
01=Agricultural tools (e.g. Spades, Shovels, rakes, pickaxes, machetes, sickles, axes, crowbar, Hand held plough)	09=Barber tools (scissors, mirror, etc.)	18=Plumbing equipment
02=Cart/ wheelbarrow	10=Beauty salon equipment (hair dryer, etc.)	19=Pottery equipment (kiln, potters wheel, etc.)
03=electric motor/ Chainsaw	11=Blacksmith tools	20=Protective clothing (overalls, steel- toed boots, hardhat, etc.)
04=Plough, Harness for animals	12=Cleaning/domestic work equipment (mop, iron, etc.)	22=Teaching supplies (books, blackboard, etc.)
05 = Infrastructure (Storehouse, granary, Sheds, Wire fencing)	13=Construction tools (electrical tools, carpentry tool box, etc.)	23=Trading equipment (display table, weighing machine, money box, etc.)
06=Thresher , Harvester, Milking machine	14=Entertainment equipment (musical instruments, speakers, lighting, karaoke machine, etc.)	24=Trading license (e.g. for market stall)
07=Tractor	15=Food preparation equipment (portable stove, serving bowls, etc.)	25=Transport (minibus, car, motorbike, bicycle, Rickshaw (three- wheel motor vehicle), Three-wheel non-motorised vehicle, etc.)
08=Other farm equipment (Fumigator, Water pump, Hoses for watering or others)	16=Gun	26=Weaving equipment (loom, etc.) Knitting machine, Sewing machine, Hemming machine
	17=Mechanic equipment (jack, tyres, jumper cables, etc)	40=Fishing boat
		41=Computers, printers, copiers 30=Other(specify)

#### Codebox #6: Occupation - productive assets

01=Independent Farmer.(From land owner, rented or borrowed)	05=Other Primary Activity (specify)	09= Other Service (speciy)
02=Cattle/Stockbreeding (including small animals breeding)	06=Transport (Taxi driver, owner vehicle)	10= Other (specify)
03=Fishing	07=Trade	11= Work for Wages in Agriculture, Fishing, Forest, Cattle/Stockbreeding
04=Forestry	08=Industry (Small and Medium Business, Tailoring, Handicrafts)	12= Non-Agriculture Work for wages (Industry, Transport, Trade and Other Services)
		77=NK, 88=NA, 79=RTA

#### Codebox #7: Why situation changed

Why Situation Improved			
01=Harvests have been good	10=Have new spouse	19=Worked hard	30=God has helped
02=Started growing new crops	11=Have more children	20=Own business or trade has been profitable	32=S/he drinks less (alcohol)
03=Tried new farming techniques	12=Children older and more able to work	21=Set up a new business or trade	33=Government-run Employment Programs
04=Managed my crops or livestock well	13= Women in household drink less	22=Started work or new job/non-farm work	34 = Spouse started work or a new job
05=Accumulated livestock	14=Men in household drink less	23=Food or Cash Aid	35 = Received a raise for current job
06=Accumulated other resources, assets, possessions	15=More people around to help, advise (Better Networks)	26=Migration	36 = Both husband and wife work
07=My own health has improved	16=Job security (continuous security)	27=Was willing to take risks	31=Other (Specify)
08=Spouse's health has improved	17=Live in a nicer house	28=Prices have risen	77=NK, 88=NA
09=Spouse works hard	18=Managed my business well	29=Good Luck	
Why Situation Worsened			
41=Harvests have been poor	50=Have fewer other resources, assets, possessions	59=Men in household drink too much	70=Bad luck
42=Tried new crops but they failed	51=I was lazy	60=Women in the house drink too much (alcohol)	71=God has not helped
43=Tried new ways of farming but they failed	52=Spouse was lazy	61=Less people around to help, advise (Poorer Networks)	73=S/he drinks too much (alcohol)
44=Managed my crops or livestock poorly	53=I have been/got ill/injured/disabled	62=Theft/robbery	72=Other (Specify)
45=Have less livestock	54=Spouse has been/got ill/injured/disabled	63=Too many loans	77=NK, 88=NA, 79=RTA
46=Managed my business poorly	55=Spouse died	64=Did not want to take risks	
47=Own business failed or didn't do well	56=Children sick or died	65=Prices for goods have fallen	
48=Tried new business/trade but it failed	57=Children moved away	68 = House is not as nice as it used to be	
49=Lost job (permanent/temporary)	58=Large family/too many children/increased family size	69=Old age	

#### Codebox #8: Wall material

01= Adobe/mud	06= Galvanised /Corrugated iron	09=Mud & stones	20=Quincha	23=Wood/Tripley
02=Bamboo/Cane	07= Matting	12=Plastic sheet	21=Cane and mud	24=Adobe and stones
03=Brick/concrete	08=Mud & bricks	16=Other Specify	22=Wood and mud	25=Concrete blocks

#### Codebox #9: Roof material

03=Bamboo/Cane	10=Plastic sheet	17= Wood & stones	21= Asbestos plates
04= Concrete/cement	11= Straw/thatch	18=Wood/planks	22=Corrugated asbestos sheeting
05= Earth/mud	15=Tiles/slates	19=Other specify	23=Cane & mud
06= Galvanised/Corrugated iron	16=W/00d & mud/adobe	20= Leaves (Achon, omiro, chorino, etc.)	24= Mat
			25=Cardboard

#### Codebox #10: Floor material

01= Cement/tile	06= Laminated material	11= Wood
02=Cinder	07=Marble stone	12=Other specify
03= Concrete/rough cement	08=Polished stone	20=Vinyl floor
04= Earth/Sand	09= Stone/brick	21=False floor
05=Granite stone	10=Tar slabs	22=Parket

#### Codebox #11: Source of drinking water

01= Piped water to the house/plot (public network)	05= Water truck delivery	09 = Other (specify)
02= Well, tube well with hand pump	06= Unprotected Well	10 = Piped water to the house/plot (non-public network)
17 71	07 = Piped water from neighbors/relatives	77=NK, 88= NA, 79=RTA
04= Lake, river,/ ditch / stream/ natural spring/unprotected canal	08 = Untreated water from neighbors/ relatives	

## Codebox #12: Toilet facility

01= Flush toilet/septic tank	04= None (river, stream, irrigation ditch)	07=Relatives toilet
02=Forest/field/open place	05= Pit latrine (communal)	09=Toilet in health facility / market
03=Neighbours toilet	06= Pit latrine (household's)	10=Other specify

#### Codebox #13: Fuel for cooking

01=Cane / Bamboo	05=Coal	09=Kerosene/paraffin	13=Shavings/sawdust
02=Bio-gas	06=Cow dung	10=Leaves	14=Straw/dead plants
	07=Crop residue	11=None / does not cook	16=Wood
04=Charcoal	08=Gas/electricity	12=Rice husk	17=Other specify

## Codebox #14: Fuel for heating

01=Cane / Bamboo	05=Coal	09=Kerosene/ paraffin	13=Shavings/sawdust
02=Bio-gas	06=Cow dung	10=Leaves	14=Straw/dead plants
	07=Crop residue	11=None/ Uses no heating	16=Wood
04=Charcoal	08=Gas/electricity	12=Rice husk	17=Other specify

#### Codebox #15: Dwelling improvement

01=New/renovated bedrooms	06=Improved wall finish	11=Sanitation	17=Improve/Build New doors/windows
02=New/renovated kitchen	07=Improved roof cover	12=Water supply	18= Build new upper floor
03=New/renovated bathroom	08=Rebuild dwelling	13=Other specify	77=NK
04=New/renovated living room	09=Electric re-wiring	15=Build new house	88=NA
05=Improved floor	10=Central heating/gas servicing	16=Improve/Build fence/wall around house	79=RTA